

Lecture 11: Motivic Characteristic Classes and Grothendieck-Riemann-Roch Theorem

By Mattie Ji

Modern Techniques in Homotopy Theory Learning Seminar

August 13th, 2025

This talk is about **characteristic classes** in motivic homotopy theory, leading to a version of the **Grothendieck Riemann-Roch theorem**.

We will mainly follow:

- 1 The PCMI lectures by Frédéric Déglise in [Déglise, 2024].
- 2 And parts of the accompanied papers [Déglise, 2016, Déglise, 2018, Déglise et al., 2021].

Throughout this talk:

- **Smooth** means smooth and of finite type.
- The base **schemes** S are Noetherian unless otherwise mentioned.
- $[a]$ means $\wedge(S^1)^a$ and (b) means $\wedge(\mathbb{G}_m)^b$.

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

- 1 Motivic Chern and Thom Classes
- 2 Six Functors on $SH(S)$
- 3 Bi-Variant Theory on Motivic Ring Spectra
- 4 Fundamental Classes
- 5 Motivic Grothendieck-Riemann-Roch

Projective Bundle Formula

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Recall from **last time**, we defined **the first Chern class** c_1 with respect to any oriented ring spectra (\mathbb{F}, c) . Here, we outline a general construction of **higher Chern classes**.

Let us recall the **projective bundle formula** over oriented ring spectra (\mathbb{E}, c) .

Theorem

Let V/X be a vector bundle of rank n and $p : \mathbb{P}(V) \rightarrow X$ with canonical line bundle λ_P . There is an isomorphism of $\mathbb{E}^{*,*}(X)$ -modules with:

$$\bigoplus_{i=0}^{n-1} \mathbb{E}^{*,*}(X) \rightarrow \mathbb{E}^{*,*}(\mathbb{P}(V))$$

$$\lambda_i \mapsto \sum_i p^*(\lambda_i) c_1(\lambda_p)^i.$$

Application: Vanishing of \lim^1 -Term

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

For a motivic ring spectrum \mathbb{E} over S , there is a **Milnor exact sequence**

$$0 \rightarrow \lim_{n \geq 0}^1 \mathbb{E}^{2,1}(\mathbb{P}_S^n) \rightarrow \mathbb{E}^{2,1}(\mathbb{P}_S^\infty) \rightarrow \lim_{n \geq 0} \mathbb{E}^{2,1}(\mathbb{P}_S^n) \rightarrow 0$$

The following is an application of the **projective bundle formula**.

Lemma:

If \mathbb{E} is oriented, then $\lim_{n \geq 0}^1 \mathbb{E}^{2,1}(\mathbb{P}_S^n) = 0$.

Application: Vanishing of \lim^1 -Term

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Lemma:

If \mathbb{E} is oriented, then $\lim_{n \geq 0}^1 \mathbb{E}^{2,1}(\mathbb{P}_S^n) = 0$.

Proof: It suffices to show the system satisfies the **Mittag-Leffler condition**: for all k , there exists $i \geq k$ such that for all $j \geq i \geq k$,

$$\mathrm{im}(\mathbb{E}^{2,1}(\mathbb{P}_S^i) \rightarrow \mathbb{E}^{2,1}(\mathbb{P}_S^k)) = \mathrm{im}(\mathbb{E}^{2,1}(\mathbb{P}_S^j) \rightarrow \mathbb{E}^{2,1}(\mathbb{P}_S^k)).$$

Since \mathbb{E} is oriented, there is a very clear description of what the cohomologies here are and the induced maps by the **projective bundle formula**, which satisfies the Mittag-Leffler condition.

$$0 \rightarrow \lim_{n \geq 0}^1 \mathbb{E}^{2,1}(\mathbb{P}_S^n) \rightarrow \mathbb{E}^{2,1}(\mathbb{P}_S^\infty) \rightarrow \lim_{n \geq 0} \mathbb{E}^{2,1}(\mathbb{P}_S^n) \rightarrow 0$$

Remark: By the previous lemma, specifying an orientation c on \mathbb{E} is equivalent to:

- Constructing elements $c_n \in \mathbb{E}^{2,1}(\mathbb{P}_S^n)$ for $n > 0$.
- With $c_1 = 1_{\mathbb{E}}$ and $c_n = \iota_n^*(c_{n+1})$ in the limit system.

Construction of Higher Chern Classes (via Grothendieck)

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Let (\mathbb{E}, c) be **oriented motivic ring spectrum** over S and X be a smooth S -scheme, V/X be a vector bundle of rank n .

Using the projective bundle formula, there exists an **unique family** $c_0(V) = 1, c_1(V), \dots, c_n(V)$ where $c_i(V) \in \mathbb{E}^{2i,i}(X)$ (we set $c_i(V) = 0$ if $i > n$) such that the following equality holds in $\mathbb{E}^{*,*}(\mathbb{P}(V))$:

$$0 = \sum_{i=0}^n p^*(c_i(V)) \cdot (-c_1(\lambda_P))^{n-i}$$

where λ_P is the canonical line bundle over $p : \mathbb{P}(V) \rightarrow X$.

Properties of (Motivic) Chern Classes

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

These Chern classes satisfy a variety of properties:

- ① **(Isomorphism Invariance)** For V, V' isomorphic vector bundles over X , $c_i(V) = c_i(V')$.
- ② **(Naturality)**: Let $g : Y \rightarrow X$ be a scheme morphism and V a vector bundle over X , then $f^*(c_i(V)) = c_i(f^*(V))$.
- ③ **(Vanishing)** If V is a trivial vector bundle over X , then $c_i(V) = 0$ for all $i > 0$.
- ④ **(Nilpotence)**: If S is Noetherian, and V is a vector bundle over an S -scheme X , then $c_i(V)$ is nilpotent for all $i > 0$.

(1) and (2) are clear. For (3), by naturality, it suffices to verify this over S . By the [projective bundle formula](#), we know that $c_1(\mathcal{O}_{\mathbb{P}_S^n}(-1))^n = 0$. Since the family of c_i 's is [unique](#), choosing $c_i(V) = 0$ for $i > 0$ would satisfy the equation.

Thom Space of Virtual Vector Bundles

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Let us now look at **Thom spaces and classes**.

For $X \in \mathbf{Sm}/S$, there is a category of **virtual vector bundles** over X - $\underline{K}(X)$ - which is the groupoid associated to Quillen K-theory space $K(X)$. The map $\mathbf{Vect}(X) \rightarrow SH(S)$ with $V \mapsto \Sigma^\infty \mathrm{Th}(V)$ extends to a functor

$$\underline{K}(X) \rightarrow \mathrm{Ho} SH(S), [v] \mapsto \Sigma^\infty \mathrm{Th}(v).$$

Note: We will use notation $\mathrm{Th}(v)$ and the **stable Thom space** $\Sigma^\infty \mathrm{Th}(v)$ interchangeably.

Motivation: For the Thom space of V/X $\mathrm{Th}(V)$ is the homotopy cofiber V/V^* , but this is also \mathbb{A}^1 -equivalent to $\mathbb{P}(V \oplus \mathbb{A}^1)/\mathbb{P}(V)$, ie.

$$0 \rightarrow \mathbb{P}(V) \rightarrow \mathbb{P}(V \oplus 1) \rightarrow \mathrm{Th}(V) \rightarrow 0.$$

If we apply (\mathbb{E}, c) to this, we obtain a sequence

$$\mathbb{E}^{*,*}(\mathrm{Th}(V)) \rightarrow \mathbb{E}^{*,*}(\mathbb{P}(V \oplus 1)) \rightarrow \mathbb{E}^{*,*}(\mathbb{P}(V))$$

By the **projective bundle formula**, this in fact becomes a **split exact sequence**:

$$0 \rightarrow \mathbb{E}^{*,*}(\mathrm{Th}(V)) \rightarrow \mathbb{E}^{*,*}(\mathbb{P}(V \oplus 1)) \rightarrow \mathbb{E}^{*,*}(\mathbb{P}(V)) \rightarrow 0$$

This motivates our definition of **Thom classes** to be constructed on $\mathbb{P}(V \oplus 1) = \mathbb{P}(V \oplus \mathbb{A}^1)$ as follows¹

Definition

Let (\mathbb{E}, c) be an oriented ring spectrum (in $\mathrm{SH}(S)$). The Thom class of V/X (vector bundle of rank n) is the element in $\mathbb{E}^{2n,n}(\mathbb{P}(V \oplus \mathbb{A}^1))$ with

$$\mathrm{th}(V) = \sum_{i=0}^n p^*(c_i(V)) \cdot (-c_1(\lambda))^{n-i}.$$

where $p : \mathbb{P}(V \oplus \mathbb{A}^1) \rightarrow X$ and λ is the canonical line bundle on $\mathbb{P}(V \oplus \mathbb{A}^1)$.

¹which restricts to a trivial class over $\mathbb{P}(V)$.

The Refined Thom Class

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

$$0 \rightarrow \mathbb{E}^{*,*}(\mathrm{Th}(V)) \rightarrow \mathbb{E}^{*,*}(\mathbb{P}(V \oplus 1)) \rightarrow \mathbb{E}^{*,*}(\mathbb{P}(V)) \rightarrow 0$$

By construction, $\mathrm{th}(V) \in \mathbb{E}^{*,*}(\mathbb{P}(V \oplus 1))$ restricts to 0 over $\mathbb{E}^{*,*}(\mathbb{P}(V))$. Exactness implies that there is a unique class $\overline{\mathrm{th}}(V) \in \mathbb{E}^{*,*}(\mathrm{Th}(V))$ that is sent to $\mathrm{th}(V)$.

$\overline{\mathrm{th}}(V)$ is called the **refined Thom class**.

Calculating the Thom Class

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

The canonical bundle $O(-1)$ on $\mathbb{P}(V \oplus \mathbb{A}^1)$ naturally fits in $p^*(V \oplus 1)$ where $V \oplus 1$ is over $V \oplus \mathbb{A}^1$ and $p : \mathbb{P}(V \oplus \mathbb{A}^1) \rightarrow V \oplus 1$ is the natural projection.

Now consider ξ (called the **universal quotient bundle**) over $\mathbb{P}(V \oplus \mathbb{A}^1)$ given by the exact sequence

$$0 \rightarrow O(-1) \rightarrow p^*(V \oplus 1) \rightarrow \xi \rightarrow 0.$$

Lemma:

$$\mathrm{th}(V) = c_n(\xi).$$

Calculating the Thom Class

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Lemma:

$$\mathrm{th}(V) = c_n(\xi).$$

Proof: The [Whitney sum formula](#)² implies that $c(p^*(V \oplus 1)) = c(O(-1))c(\xi)$.³ On the level of c_n , we have

$$c_n(p^*(V \oplus 1)) = \sum_{p+q=n} c_p(O(-1))c_q(\xi) = c_n(\xi) + c_1(O(-1))c_{n-1}(\xi).$$

Thus, we have by naturality and Whitney-sum formula that

$$\begin{aligned} c_n(\xi) &= c_n(p^*(V \oplus 1)) - c_1(O(-1))c_{n-1}(\xi) \\ &= p^*(c_n(V)) \cdot 1 + c_{n-1}(\xi)(-c_1(O(-1))). \end{aligned}$$

²which we did not state, but it is the one the reader would expect

³where c represents the total Chern class

Calculating the Thom Class

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(\mathcal{S})$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Now on the level of c_{n-1} , the initial equation gives us

$$p^*(c_{n-1}(V)) = c_{n-1}(p^*(V \oplus 1)) = c_{n-1}(\xi) + c_1(O(-1))c_{n-2}(\xi)$$

Plugging this in the previous question gives us that

$$\begin{aligned} c_n(\xi) &= p^*(c_n(V)) \cdot 1 + c_{n-1}(\xi)(-c_1(O(-1))) \\ &= p^*(c_n(V)) \cdot 1 + p^*(c_{n-1}(V))(-c_1(O(-1))) \\ &\quad + c_{n-2}(\xi)(-c_1(O(-1)))^2 \end{aligned}$$

Repeatedly the recursive relations, we will get eventually that

$$c_n(\xi) = \sum_{i=0}^n p^*(c_i(V)) \cdot (-c_1(O(-1)))^{n-i} = \mathrm{th}(V).$$

Motivic Thom Isomorphism

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Theorem (Motivic Thom Isomorphism)

The map $\mathbb{E}^{*,*}(X) \rightarrow \mathbb{E}^{*,*}(\mathrm{Th}(V))$ given by

$$\lambda \mapsto \lambda \cdot \overline{\mathrm{th}}(V)$$

is an isomorphism. Here the map is understood as $\mathbb{E}^{*,*}(X)$ acting on $\mathbb{E}^{*,*}(\mathrm{Th}(V))$ as the rings of coefficients.

Proof: Again consider the exact sequence

$$0 \rightarrow \mathbb{E}^{*,*}(\mathrm{Th}(V)) \xrightarrow{f} \mathbb{E}^{*,*}(\mathbb{P}(V \oplus 1)) \xrightarrow{g} \mathbb{E}^{*,*}(\mathbb{P}(V)) \rightarrow 0.$$

By the projective bundle formula, the middle term is a free $\mathbb{E}^{*,*}(X)$ module of rank $n + 1$ and the right term is free of rank n , so $\mathbb{E}^{*,*}(\mathrm{Th}(V))$ is a 1-dimensional $\mathbb{E}^{*,*}(X)$ -module (ie. invertible), and the refined Thom class is its basis.

Motivic Thom Isomorphism, Reformulated

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

There is a reformulation of the Thom isomorphism as follows.

Theorem (Motivic Thom Isomorphism, Version 2)

Let $p : X \rightarrow S$ be the structure map with $\mathbb{E}_X = p^* \mathbb{E}$. Let V/X , then the multiplication by the Thom class gives $\overline{th}(V)$ gives a map:

$$\gamma_{\overline{th}(V)} : \mathbb{E}_X \otimes \mathrm{Th}(V) \rightarrow \mathbb{E}_X(m)[2m],$$

which is an isomorphism of \mathbb{E}_X -modules.

Finally, we will construct **Thom classes for virtual bundles**. We first note there is a **splitting of Thom spaces**

Lemma:

Consider an exact sequence of vector bundles over S

$$0 \rightarrow V' \rightarrow V \rightarrow V'' \rightarrow 0,$$

then we have an \mathbb{A}^1 -equivalence of motivic spectra⁴,

$$\Sigma^\infty \mathrm{Th}(V) \cong \Sigma^\infty \mathrm{Th}(V') \otimes \Sigma^\infty \mathrm{Th}(V'').$$

⁴This splitting is even true in the unstable world.

Whitney Sum Formula for Thom Classes

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Lemma:

The equivalence before admits a canonical isomorphism

$$\mathbb{E}^{*,*}(\mathrm{Th}(V)) \simeq \mathbb{E}^{*,*}(\mathrm{Th}(V')) \otimes_{\mathbb{E}^{*,*}(X)} \mathbb{E}^{*,*}(\mathrm{Th}(V'')).$$

This in particular implies that $\overline{\mathrm{th}}(V) = \overline{\mathrm{th}}(V') \otimes \overline{\mathrm{th}}(V'')$.

Proof Idea: The first equality is because $\mathbb{E}^{*,*}$, as an ∞ -functor between stable ∞ -categories, preserves finite (co)limits.

To check the [formula for refined Thom classes](#), we can reduce to the case of a split SES:

$$0 \rightarrow V' \xrightarrow{i} V \xrightarrow{q} V'' \rightarrow 0$$

Whitney Sum Formula for Thom Classes

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Let $O(-1)$ be the canonical bundle over $\mathbb{P}(V)$ and $p : \mathbb{P}(V) \rightarrow X$ consider the following vector bundles over $\mathbb{P}(V)$ as

$$\xi = p^*(V)/O(-1), \xi' = p^*(i(V'))/O(-1), \xi'' = (q \circ p)^*(V'')/O(-1)$$

Because the sequence is split, we in fact have that

$$\xi = \xi' \oplus \xi''.$$

Write e as the top Chern class, the Whitney sum formula implies that $e(\xi) = e(\xi')e(\xi'')$, which can be used to conclude the proof.

Let v be a virtual vector bundle.

By the previous lemma, $\mathbb{E}^{*,*}(\mathrm{Th}(v))$ is a free $\mathbb{E}^{*,*}(X)$ -module of rank 1. The lemma gives it the choice of a canonical basis $\mathrm{th}(v)$ in $\mathbb{E}^{2r,r}(\mathrm{Th}(v))$, where r is the virtual rank of v . This is the **Thom class of a virtual vector bundle**.

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

- 1 Motivic Chern and Thom Classes
- 2 Six Functors on $SH(S)$
- 3 Bi-Variant Theory on Motivic Ring Spectra
- 4 Fundamental Classes
- 5 Motivic Grothendieck-Riemann-Roch

Theorem (Voevodsky, Ayoub)

There are **3-pairs of adjoint functors** with several properties.

- ① $(\otimes_S, \underline{Hom}_S)$ on $\mathrm{SH}(S)$ (Note this is simply because $\mathrm{SH}(S)$ is a presentable symmetric monoidal ∞ -category).
- ② For any morphism of schemes $f : T \rightarrow S$, we get a pair of symmetric monoidal adjoint functors

$$f^* : \mathrm{SH}(S) \rightleftarrows \mathrm{SH}(T) : f_*$$

which is induced by an ∞ -functor $\mathrm{SH}^* : \mathrm{Sch}^{op} \rightarrow \mathrm{Cat}_{\infty}^{\otimes}$

- ③ Let $p : X \rightarrow S$ be a morphism of schemes that is separated of finite type (call this **s-morphism**), we get another pair of adjoints

$$p_! : \mathrm{SH}(Y) \rightleftarrows \mathrm{SH}(X) : p^!$$

which comes from an ∞ -functor $\mathrm{SH}_! : \mathrm{Sch} \rightarrow \mathrm{Cat}_{\infty}$

Six Functor Formalism Properties⁵

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

- ① There is a natural transformation $f_! \rightarrow f_*$ which is invertible if f is furthermore proper.
- ② There is a invertible natural transformation $f^* \rightarrow f^!$ whenever f is an open immersion.
- ③ There is a canonical isomorphism

$$\mathbb{E} \otimes p_!(\mathbb{F}) \rightarrow p_!(p^*(\mathbb{E}) \otimes \mathbb{F})$$

for any s-morphism $p : T \rightarrow S$, $\mathbb{E} \in \mathrm{SH}(S)$, $\mathbb{F} \in \mathrm{SH}(T)$.

- ④ (Base Change) $f_!$ (resp. $f^!$) satisfies base change w.r.t to inverse images g^* (resp. g_*) - that is if f, g are both s-morphisms and there is a Cartesian square:

$$\begin{array}{ccc} T' & \xrightarrow{g} & S' \\ q \downarrow & & \downarrow p \\ T & \xrightarrow{f} & S \end{array}$$

then $p^* f_! \xrightarrow{\sim} g_! q^*$ and $q_* g^! \xrightarrow{\sim} f^! p_*$.

⁵Skip in presentation

If $p : X \rightarrow S$ is smooth with relative tangent bundle T_p/X , then there exists an isomorphism of functors:

$$p_p : \Sigma^{T_p} p^* \rightarrow p^!.$$

where Σ^{T_p} notationally means smashing with the Thom space, ie. this means that:

$$p^! \simeq \mathrm{Th}(T_p) \otimes p^*,$$

or, equivalently by the lemma before, that

$$p^* \simeq \mathrm{Th}(-T_p) \otimes p^!.$$

Example: Let $p : X \rightarrow S$ be smooth and separated, then

$$\Sigma^\infty X_+ \simeq p_! p^1(1_S).$$

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

- 1 Motivic Chern and Thom Classes
- 2 Six Functors on $SH(S)$
- 3 Bi-Variant Theory on Motivic Ring Spectra**
- 4 Fundamental Classes
- 5 Motivic Grothendieck-Riemann-Roch

Let \mathbb{E} be an motivic ring spectrum⁶.

Definition

Let $n \in \mathbb{Z}$ and $v \in \underline{K}(X)$. A **bi-variant theory**⁷ of a s -morphism $p : X \rightarrow S$ in **degree n with a twist v** is

$$\mathbb{E}_n(X/S, v) = [\mathrm{Th}(v)[n], p^! \mathbb{E}]$$

Note that equivalently $\mathbb{E}_n(X/S, v)$ is $\pi_n(\mathbb{E}(X/S, v))$ where $\mathbb{E}(X/S)$ is the **v -twisted bivariant spectrum** (as a mapping spectrum)

$$\mathbb{E}(X/S) = \mathrm{Maps}_{\mathrm{SH}(S)}(p_!(\mathrm{Th}(v)), \mathbb{E}).$$

⁶Much of what we discussed holds for a general motivic spectra.

⁷Also called Borel-Moore \mathbb{E} -homology

Examples of Bi-Variant Theory

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

- 1 If $p : X \rightarrow X$ is the **identity**, then

$$\mathbb{E}_n(X/X, v) = \mathbb{E}^{-n,0}(\mathrm{Th}(v)).$$

- 2 If we take \mathbb{E} to represent **Betti cohomology** H_B with integral coefficients over $v = 0$ and $S = \mathrm{Spec}(k)$, then the bi-variant theory is the **Borel-Moore homology** of X .
- 3 If S is regular and $\mathbb{E} = \mathrm{KGL}_S$, then one can compute

$$\mathrm{KGL}_n(X/S, 0) \simeq G_n(X),$$

where $G_n(X)$ is **Quillen's K-theory on the exact category of coherent sheaves over X** . This is a theorem of **Fangzhou Jin** in [Jin, 2019] with a similar statement extending to the non-regular case.

Fulton-MacPherson devised some formal consequences of these bi-invariant theories (without the twist v) as follows.

- 1 For any map $f : T \rightarrow S$, there is a base change map:

$$f^* : \mathbb{E}_n(X/S, v) \rightarrow \mathbb{E}_n(X \times_S T = X_T/T, g^*(v))$$

where g appears in the Cartesian square

$$\begin{array}{ccc} X_T & \xrightarrow{g} & X \\ q \downarrow & & \downarrow p \\ T & \xrightarrow{f} & S \end{array}$$

- 2 **Proper Covariance** - Covariant with respect to proper maps $f : Y \rightarrow X$, which induces

$$f_* : \mathbb{E}_*(Y/S, f^*(v)) \rightarrow \mathbb{E}_*(X/S, v)$$

- ③ **Étale contravariance** - let $f : X \rightarrow Y$ be an étale- s -morphism of S -schemes, there is an inverse image map

$$f^! : \mathbb{E}_n(Y/S, v) \rightarrow \mathbb{E}_n(X/S, f^*v)$$

- ④ **Product:** For morphisms $p : X \rightarrow S$ and S -scheme morphism $q : Y \rightarrow X$, and $v \in \underline{K}(X)$, $w \in \underline{K}(Y)$, there is a product

$$\mathbb{E}_n(Y/X, w) \otimes \mathbb{E}_m(X/S, v) \rightarrow \mathbb{E}_{n+m}(Y/S, w + q^*v).$$

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

- 1 Motivic Chern and Thom Classes
- 2 Six Functors on $SH(S)$
- 3 Bi-Variant Theory on Motivic Ring Spectra
- 4 Fundamental Classes**
- 5 Motivic Grothendieck-Riemann-Roch

Now we first recall the following definition.

Definition

We say a morphism of schemes $f : X \rightarrow S$ is **smoothable lci** if there is a factorization

$$X \xrightarrow{i} P \xrightarrow{p} S$$

such that i is a regular closed immersion and p is smooth.

The **virtual tangent bundle**⁸ of f , is $\tau_f \in \underline{K}(X)$ such that

$$\tau_f = [i^*T_p] - [N_i],$$

where N_i is the normal bundle of i and T_p is the tangent bundle of p .

⁸For readers interested in derived algebraic geometry, they may note that τ_f is equivalently the virtual bundle associated to the cotangent complex \mathcal{L}_f of f .

Lemma:

Consider a triangle of maps f, g, h all smoothable lci,

$$\begin{array}{ccc} Y & \xrightarrow{h} & S \\ & \searrow g & \nearrow f \\ & X & \end{array}$$

Then $\tau_h = \tau_g + g^* \tau_f$.

Theorem ([Déglise et al., 2021])

Let $f : X \rightarrow S$ be a smoothable lci, there exists a class (called the (refined) **fundamental class**) $\eta_f \in \mathbb{E}_0(X/S, \tau_f)$ such that

- ① η_{id} is $1_{\mathbb{E}}$, the unit of the ring spectrum.
- ② Let $p : X' \rightarrow S$ be a morphism transversal to f (that is - p is transversal to f if p' is a **local complete intersection** and $\tau_{f'} \simeq (p')^{-1}(\tau_f)$):

$$\begin{array}{ccc} X' \times_S X & \xrightarrow{p'} & X \\ f' \downarrow & & \downarrow f \\ X' & \xrightarrow{p} & S \end{array}$$

In this case, one has $p^* \eta_f = \eta_{f'}$.⁹

⁹Note that we are using (1) of the Fulton-MacPherson formalism here.

Theorem ([Déglise et al., 2021])

- ③ When $h = g \circ f$ and they are all smoothable lci, then by lemma above, we have that $\mathbb{E}_0(Y/S; \tau_h) = \mathbb{E}_0(Y/S, \tau_g + g^* \tau_f)$. In this case, we have

$$\eta_h = \eta_g \cdot \eta_f,$$

where the product is given by the [Fulton-MacPherson formalism](#) before.

Proof Sketch of Theorem

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Case 1: f is a **smooth morphism**. In this case, the existence of such η_f can be deduced from the **smooth purity isomorphism** prior.

Case 2: f is a **(regular) closed immersion**. Write $f = i : Z \rightarrow X$. To do this we need the theory of **deformation spaces**. Write

$$D = D(X, Z) := Bl_Z(\mathbb{A}_X^1) - Bl_Z X$$

Note that D is a **scheme fibered over \mathbb{A}^1** :

- The fiber of D over \mathbb{G}_m is $D|_{\mathbb{G}_m} \simeq \mathbb{G}_m \times X$.
- The fiber over 0 is $D|_0 = N := N_Z(X)$ (the normal cone of Z in X^{10}).

¹⁰When i is regular, this is actually the normal bundle.

Proof Sketch of Theorem

Then the **fundamental class** η_i may be constructed as the image of the unit under the following sequence of maps

$$\mathbb{E}_0(X/X, 0) \xrightarrow{\gamma_t} \mathbb{E}_{-1}(\mathbb{G}_m \times X/X, 0) \xrightarrow{\partial_{D,N}} \mathbb{E}_0(N/X, 0) \simeq \mathbb{E}_0(Z/X, -N)$$

- γ_t is **multiplication by $[t]$** , which is associated to the canonical unit of $\mathbb{G}_m = \mathrm{Spec}(\mathbb{Z}[t, t^{-1}])$.
- $\partial_{D,N}$ is a **certain residue map** associated with the closed immersion $N \subset D$.
- Note that $D - N \simeq \mathbb{G}_m \times X$. The isomorphism in the last step is evident, and note $\tau_i = -N$

General Case: The general step involves showing that the “composition” of the two constructions above “glue correctly” so that its properties would still hold.

Oriented Thom Isomorphism

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

We seek a specialized construction when we have (\mathbb{E}, c) - a **motivic ring spectrum with orientation**. Let v be a virtual vector bundle over X , using the **bi-variant theory** above, we can obtain a version of **cap product** given by

$$\mathbb{E}^{m,j}(\mathrm{Th}(w)) \otimes \mathbb{E}_{n,i}(X/S, v) \rightarrow \mathbb{E}_{n-m,i-j}(X/S, v + w).$$

Theorem (Oriented Thom Isomorphism)

The following map is an isomorphism:

$$\mathbb{E}_0(X/S, v) \rightarrow \mathbb{E}_{2r,r}(X/S), \alpha \mapsto \mathrm{th}(-v) \cdot \alpha$$

where the map is given by the cap product above.

Definition

Let $f : X \rightarrow S$ be a smoothable lci. Suppose f has relative dimension d ¹¹. We define the (refined) **oriented fundamental class** of f in coefficients (\mathbb{E}, c) as

$$\eta_i^c := \mathrm{th}(-\tau_f) \cdot \eta_f \in \mathbb{E}_{2d,d}(X/S),$$

by the map in the **oriented Thom isomorphism** prior.

If f is in addition proper, then we can define a **Gysin morphism without twits** as

$$f_! : \mathbb{E}^{*,*}(X) \rightarrow \mathbb{E}^{*+2d,*+d}(S), \alpha \mapsto f_*(\alpha \cdot \eta_f^c).$$

¹¹this is the rank of τ_f

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

- 1 Motivic Chern and Thom Classes
- 2 Six Functors on $SH(S)$
- 3 Bi-Variant Theory on Motivic Ring Spectra
- 4 Fundamental Classes
- 5 Motivic Grothendieck-Riemann-Roch**

Map between Oriented Motivic Ring Spectra

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Let $\phi : (\mathbb{E}, c) \rightarrow (\mathbb{F}, d)$ be a **morphism of ring spectra** over S .
This induces a map

$$\phi_* : \mathbb{E}^{*,*}(\mathbb{P}_S^\infty) \rightarrow \mathbb{F}^{*,*}(\mathbb{P}_S^\infty)$$

By the **projective bundle formula**, we know that this is a map

$$\phi^* : \mathbb{E}^{*,*}(S)[[c]] \rightarrow \mathbb{F}^{*,*}(S)[[d]]$$

From here we see that $\phi^*(c)$ is a power-series - denoted $\theta_\phi(d)$.

Fact: The element $\theta_\phi(d) = \phi^*(c)$ is an **orientation on \mathbb{F}** with the form $\theta_\phi(d) = d + \dots$

θ_ϕ is a Strict Isomorphism

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\mathrm{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

As in the classical case, one can check that

Lemma

Let $F_{\mathbb{E}}(x, y)$ be the formal group law over (\mathbb{E}, c) and consider $F_{\mathbb{E}}(x, y)|_{\mathbb{E}^{*,*}}$ be the formal group law obtained by substituting the coefficients via the map ϕ . In this case, θ_ϕ gives a **strict isomorphism of FGLs with $F_{\mathbb{E}}(x, y)|_{\mathbb{F}^{*,*}}$ and $F_{\mathbb{F}}(x, y)$** - ie.

$$\theta_\phi(F_{\mathbb{E}}(x, y)|_{\mathbb{F}^{*,*}}) = F_{\mathbb{F}}(\theta_\phi(x), \theta_\phi(y)).$$

Example: The Chern Character

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Let S be regular. The **Chern character** is an isomorphism of oriented motivic ring spectrum with

$$\mathrm{ch} : KGL_S \otimes \mathbb{Q} \rightarrow \bigoplus_{i \in \mathbb{Z}} H_M \mathbb{Q}_S(i)[2i]$$

such that on degree 0 it corresponds to the map

$$K_0(S) \otimes \mathbb{Q} \xrightarrow{\sim} \mathrm{CH}^*(S) \otimes \mathbb{Q}.$$

The group law on KGL_S is the multiplicative FGL and the group law on $H_M \mathbb{Q}_S$ is the additive FGL, so θ_{ch} is the unique strict isomorphism between them known as the **exponential**.

For $\phi : (\mathbb{E}, c) \rightarrow (\mathbb{F}, d)$, there exists a **Todd Class** morphism

$$\mathrm{td}_\phi : K_0(X) \rightarrow \mathbb{F}^{0,0}(X)^\times.^{12}$$

The Todd class satisfies:

- ① It is natural with respect to pullbacks.
- ② For a line bundle L/X ,

$$\mathrm{td}_\phi(L) = \frac{t}{\theta_\phi(t)}|_{t=d_1(L)}$$

This is the **power series** $\frac{t}{\theta_\phi(t)}$, with t substituted out with $d_1(L)$ (Here d_1 is the first Chern class of L , but we use d instead of c as the orientation).

- ③ For a vector bundle V/X of rank n ,

$$d_n(V) = \mathrm{td}_\phi(V) \cdot \phi_*(c_n(V)).$$

¹²Here $\mathbb{F}^{0,0}(X)$ is a ring, so we take its units.

Example: The Chern Character

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Let $\text{ch} : \text{KGL}_S \otimes \mathbb{Q} \rightarrow \bigoplus_{i \in \mathbb{Z}} H_M \mathbb{Q}_S(i)[2i]$ be the Chern character. Recall the map here is the **exponential** (ie. $\theta_\phi(t) = 1 - \exp(-t)$). Thus, we have that for a line bundle

$$\text{td}(L) = \frac{c_1(L)}{1 - \exp(-c_1(L))}$$

Now we are ready to state the Grothendieck-Riemann-Roch theorem in this context.

Theorem (Motivic Grothendieck-Riemann-Roch)

For $\phi : (\mathbb{E}, c) \rightarrow (\mathbb{F}, d)$, let $f : X \rightarrow S$ be a smoothable lci morphism of dimension n . The following holds in $\mathbb{F}_{2n,n}(X/S)$

$$\phi_*(\eta_f^c) = \mathrm{td}_\phi(\tau_f) \cdot \eta_f^d.$$

This theorem can be used to recover the classical one.

Write $\eta_f^{\mathbb{E}}$ as the (unoriented) fundamental class for \mathbb{E} with respect to f . From the definition of oriented fundamental class, we have that

$$\phi_*(\eta_f^c) = \phi_*(\mathrm{th}^c(-\tau_f) \cdot \eta_f^{\mathbb{E}}) = \phi_*(\mathrm{th}^c(-\tau_f)) \cdot \eta_f^{\mathbb{F}}.$$

It suffices to then prove that $\phi_*(\mathrm{th}^c(-\tau_f)) = \mathrm{td}_\phi(\tau_f) \cdot \mathrm{th}^d(-\tau_f)$.

We will indeed prove more generally that

$\phi_*(\mathrm{th}^c(v)) = \mathrm{td}_\phi(-v) \cdot \mathrm{th}^d(v)$. By a version of **splitting principle** (which we did not mention, but it is the one you might expect), this equality reduces to when $v = [L]$ is the class by a line bundle. For a line bundle L , $\mathrm{th}(L) = c_1(L)$ and the definition of Todd class concludes the proof.

Application: Fundamental Classes Comes From Algebraic Cobordisms

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Fix $f : X \rightarrow S$ and let (\mathbb{E}, c) be an oriented ring spectra. We have a classifying map

$$\phi : \mathrm{MGL} \rightarrow \mathbb{E}, \phi_*(c^{\mathrm{MGL}}) = c.$$

By the GRR theorem, we have that

$$\phi_*(\eta_f^{\mathrm{MGL}}) = \mathrm{td}_\phi(\tau_f) \cdot \eta_f^{\mathbb{E}}.$$

Now since $\phi_*(c^{\mathrm{MGL}}) = c$, there is no transition and hence $\mathrm{td}_\phi(\tau_f) = 1$. Thus, we have that

$$\phi_*(\eta_f^{\mathrm{MGL}}) = \eta_f^{\mathbb{E}}.$$

Application: Beilinson

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Let $\Lambda = \mathbb{Q}$, $\Lambda_\ell = \mathbb{Q}_\ell$ where ℓ is prime, over all schemes. There is a morphism of motivic ring spectra

$$\rho_\ell : H\Lambda \rightarrow H_{\acute{e}t}\Lambda_\ell,$$

where $H\Lambda$ is the **Beilinson motivic ring spectrum** and $H_{\acute{e}t}\Lambda_\ell$ is the **étale motivic Λ -spectrum**. One can check the map $H^{*,*}(-, \Lambda) \rightarrow H_{\acute{e}t}^{*,*}(-, \Lambda)$ induces the identity on the formal group laws (which is both additive). Thus, we have

$$\rho_\ell(\eta_f) = \eta_f^{\acute{e}t}.$$

Remark: What About K^{MW} ?

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $\text{SH}(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch

Given the fact that over a field k , $\pi_0(1_k)_* \cong K_*^{\text{MW}}$, one might hope some of this technology can be applied to **Milnor-Witt K-theory**.

- However, we note that HK^{MW} is **not orientable**, so GRR is not applicable.
- There is a work-around developed, called **the quadratic Riemann-Roch theorem** in [Déglise and Fasel, 2024] that resolves the issue.



Dégliise, F. (2016).

Orientation theory in arithmetic geometry.

In *K-Theory - Proceedings of the International Colloquium, Mumbai, 2016*, K-Theory - Proceedings of the International Colloquium, Mumbai, India. V. Srinivas, S. K. Roushon, Amalendu Krishna, A. J. Parameswaran, Ravi A. Rao. 81 pages.



Dégliise, F. (2018).

Bivariant theories in motivic stable homotopy.

Documenta Mathematica, 23:997–1076.



Dégliise, F. (2024).

Characteristic classes in motivic homotopy theory.

Workshop at the PCMI 2024 Summer School.

Lecture 11:
Motivic
Characteristic
Classes and
Grothendieck-
Riemann-
Roch
Theorem

By Mattie Ji

Motivic
Chern and
Thom
Classes

Six Functors
on $SH(S)$

Bi-Variant
Theory on
Motivic Ring
Spectra

Fundamental
Classes

Motivic
Grothendieck-
Riemann-
Roch



Déglise, F. and Fasel, J. (2024).
Quadratic riemann-roch formulas.



Déglise, F., Jin, F., and Khan, A. A. (2021).
Fundamental classes in motivic homotopy theory.
Journal of the European Mathematical Society,
23(12):3935–3993.



Jin, F. (2019).
Algebraic g-theory in motivic homotopy categories.