

# SIMPLICIAL CATEGORIES, KAN EXTENSIONS AND HOMOTOPICAL ALGEBRA

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10 Aug, 2022

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## 1. SIMPLICIAL CATEGORIES

Write  $\Delta$  to be a category, whose objects consist of sets  $[n] = \{0, 1, \dots, n\}$  with finite total order for any  $n \in \mathbb{N}$ , and morphisms are order-preserving maps between sets.

**Definition 1.1.** A **simplicial object** in category  $\mathcal{C}$  is a contravariant functor  $X : \Delta \rightarrow \mathcal{C}$ .

If  $\mathcal{C} = \mathbf{Set}$ , then a simplicial object is called a **simplicial set**. Write  $\text{Fun}(\Delta^{op}, \mathcal{C}) = s\mathcal{C}$ . In particular,  $\text{Fun}(\Delta^{op}, \mathbf{Set}) = s\mathbf{Set}$ . One can easily check from definition that  $\mathbf{Set}$  is a full subcategory of  $s\mathbf{Set}$ .

*Remark 1.2.* Dually, one can define what is called the **cosimplicial objects** by replacing “contravariant” with “covariant”.

There are two collection of morphisms in  $\Delta$ , called **face maps** and **degeneracy maps**, defined as follows:

**Definition 1.3.** Let  $0 \leq i, j \leq n$ . **Face maps**  $d^i : [n-1] \hookrightarrow [n]$  sends  $k$  to  $k$  when  $k < i$ , and sends  $k$  to  $k+1$  when  $k \geq i$ . In other words,  $d^i$  skips  $i$ . **Degeneracy maps**  $s^j : [n+1] \rightarrow [n]$  sends  $k$  to  $k$  when  $k \leq j$ , and sends  $k$  to  $k-1$  when  $k > j$ . In other words,  $s^j$  doubles  $j$ .

We get the following theorem which is highly combinatorial:

**Theorem 1.4.** For any  $f \in \text{hom}_{\Delta}([n], [m])$ ,  $f$  can be uniquely decomposed into  $f = d^{i_1} \dots d^{i_r} s^{j_1} \dots s^{j_s}$ , where  $m = n - s + r$ ,  $i_1 < \dots < i_r$ ,  $j_1 < \dots < j_s$ , up to linear order.

**Example 1.5.** Let  $f : [4] \rightarrow [2]$ . Then  $f = s^0 \circ s^2$  because  $s^0$  doubles 0 and  $s^2$  doubles 2.

It is easy to check the face maps and the degeneracy maps satisfy the relation stated as below:

**Corollary 1.6.**

$$(1.7) \quad d^j d^i = d^i d^{j-1}, \quad i < j;$$

$$(1.8) \quad s^j s^i = s^i s^{j+1}, \quad i \leq j;$$

$$(1.9) \quad s^j d^i = \begin{cases} d^i s^{j-1} & i < j; \\ \text{id} & i = j, j+1; \\ d^{i-1} s^j & i > j+1. \end{cases}$$

Let  $X_* : \Delta^{op} \rightarrow \mathcal{C}$  be a simplicial object in  $\mathcal{C}$ . Denote  $X_n = X_*([n])$ ,  $d_i = X_*(d^i)$ ,  $s_j = X_*(s^j)$ . Corollary 1.6 can be rewritten in the form:

**Corollary 1.10.**

$$(1.11) \quad d_i d_j = d_{j-1} d_i, \quad i < j;$$

$$(1.12) \quad s_j s_i = s_{i+1} s_j, \quad j \leq i;$$

$$(1.13) \quad d_i s_j = \begin{cases} s_{j-1} d_i & i < j; \\ \text{id} & i = j, j+1; \\ s_j d_{i-1} & i > j+1. \end{cases}$$

**Example 1.14** (Standard simplex). The most important example of simplicial sets is the standard simplices. Consider the category  $\Delta$ . By Yoneda embedding, any  $[n] \in \Delta$  associates to  $\text{hom}_\Delta(-, [n])$ . Write  $\Delta[n]_* = \text{hom}_\Delta(-, [n]) \in s\text{Set}$ , with  $\Delta[n]_k = \text{hom}_\Delta([k], [n])$ . This is called a **standard  $n$ -simplex**. Observe that, from Theorem 1.4,

$$\Delta[n]_k \cong \{(j_0, j_1, \dots, j_k) : 0 \leq j_0 \leq \dots \leq j_k \leq n\}.$$

The first two terms goes  $\Delta[0]_k = \{(0, \dots, 0)\}$  and  $\Delta[1]_k = \{(0, \dots, 0, \underbrace{1, \dots, 1}_{k+1-i}) : 0 \leq i \leq k+1\}$ . Informally speaking, there are  $k+2$  simplices in  $\Delta[1]_k$ .

By Yoneda lemma, any simplicial set  $X_*$  associates to  $\text{hom}_{s\text{Set}}(-, X_*)$ . In particular,

$$\text{hom}_{s\text{Set}}(\Delta[n]_*, X_*) \cong X_*([n]) = X_n.$$

So standard  $n$ -simplices recover the information in simplicial sets. Generally, since  $\Delta \rightarrow s\text{Set}$  sending  $[n] \mapsto \Delta[n]_*$  is a fully faithful functor,  $\Delta[-]_*$  is a cosimplicial object in  $s\text{Set}$ .

**Example 1.15** ( $\Delta$ -complexes). Recall that in classical algebraic topology,

$$\Delta^n = \{(x_0, \dots, x_n) \in \mathbb{R}_{\geq 0}^{n+1} : \sum x_i = 1\}.$$

In our setting, the  $\Delta$ -complex  $\Delta^*$  builds a cosimplicial set  $\Delta \rightarrow \text{Top}$ .

*Remark 1.16.* We often work in category of CGWH (compactly generated and weak Hausdorff) spaces instead of  $\text{Top}$  since the latter is not Cartesian closed, i.e. there is no natural mapping

$$\underline{\text{hom}}(-, -) : \text{Top}^{op} \times \text{Top} \rightarrow \text{Top}$$

such that

$$\text{hom}(Z \times X, Y) \cong \text{hom}(Z, \underline{\text{hom}}(X, Y)),$$

which makes it hard to discuss the right adjoint of the product functor.

**Definition 1.17.** Let  $x \in X_n$ .  $x$  is called **degenerate** if  $x \in \text{im}(s_j : X_{n-1} \rightarrow X_n)$  for some  $j$ . The set of degenerate  $n$ -simplices is given by

$$\bigcup_{j=0}^{n-1} s_j(X_{n-1}) \subset X_n.$$

**Example 1.18** (Simplicial spheres). Given a standard  $n$ -simplex  $\Delta[n]_*$ . The **boundary** of  $\Delta[n]_*$  is

$$\partial\Delta[n]_* = \bigcup_{0 \leq i \leq n} d^i(\Delta[n-1]_*) \subset \Delta[n]_*.$$

The **simplicial  $n$ -sphere** is  $S_*^n = \Delta[n]_* / \partial\Delta[n]_*$ . When  $n = 1$ ,

$$\begin{aligned} \partial\Delta[1]_k &= d^0(\Delta[0]_k) \cup d^1(\Delta[0]_k) \\ &= d^0(\{(0, \dots, 0)\}) \cup d^1(\{(0, \dots, 0)\}) \\ &= \{(1, \dots, 1)\} \cup \{(0, \dots, 0)\} \\ &= \{(0, \dots, 0), (1, \dots, 1)\}. \end{aligned}$$

By definition,

$$S_k^1 = \frac{\{\underbrace{(0, \dots, 0)}_i, \underbrace{(1, \dots, 1)}_{k+1-i} : i = 0, 1, \dots, k+1\}}{\{(0, \dots, 0), (1, \dots, 1)\}}.$$

Non-degenerate simplices are those such that there is no  $y \in \Delta[n+1]_*$  such that  $s^j(y) \in \Delta[n]_*$  for some  $j$ . So only possible candidate for  $j$  to make  $y$  degenerate is  $j = 0$  or  $1$ . Note that  $s^j$  doubles  $j$ , and  $(0), (1), (0, 0), (1, 1)$  are zero in  $S_*^1$ . Hence  $(0), (0, 1)$  are non-degenerate simplices in  $S_*^1$ . Geometrically, this corresponds to the fact that  $S^1 = e^0 \cup e^1$ .

$S_*^n$  can also be given by the pushout diagram:

$$\begin{array}{ccc} \partial\Delta[n]_* & \hookrightarrow & \Delta[n]_* \\ \downarrow & & \downarrow \\ * & \hookrightarrow & S_*^n \end{array}$$

where  $*$  is the discrete simplicial set associated to the singleton  $\{*\}$ .

## 2. KAN EXTENSION AND GEOMETRIC REALIZATION

**Definition 2.1.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{C} \rightarrow \mathcal{E}$  be functors. A **left Kan extension** of  $F$  along  $G$  is a functor  $\text{Lan}_G F : \mathcal{D} \rightarrow \mathcal{E}$  together with a natural transformation  $\eta : F \Rightarrow \text{Lan}_G F \circ G$  that is universal from  $F$  to  $\text{Lan}_G F \circ G$ . That is, for any  $\eta' : F \Rightarrow S \circ G$ , there exist a unique natural transformation  $\varphi : \text{Lan}_G F \Rightarrow S$



A fancier way to say this is through the coend:

$$(Defn 3) \quad |X_*| = \int^{\Delta} X_n \times \Delta^n.$$

**Proposition 2.5.** *Defn 1 ~ 3 provided above give the same data, which is functorial.*

**Theorem 2.6.** *For any  $X_* \in sSet$ ,  $|X_*|$  is a CW complex with  $n$ -skeleton  $sk_n(X_*) = \langle X_k \mid k \leq n \rangle$ .*

*Proof.* By definition, we have a skeleton filtration

$$sk_0(X_*) \subset sk_1(X_*) \subset \cdots \subset sk_n(X_*) \subset \cdots \subset X_*,$$

and

$$X_* = \bigcup_{n \geq 0} sk_n(X_*).$$

Recall that the boundary of  $\Delta[n]_*$  can be written as

$$\partial\Delta[n]_* = \langle \Delta[n]_k : k < n \rangle.$$

So we have pushout squares

$$\begin{array}{ccc} \sqcup \partial\Delta[n]_* & \xrightarrow{\sqcup f_x | \partial\Delta[n]} & sk_{n-1}(X_*) \\ \downarrow & & \downarrow \\ \sqcup \Delta[n]_* & \xrightarrow{\sqcup f_x} & sk_n(X_*) \end{array}$$

where the disjoint unions are taken over all non-degenerate simplices  $x \in X_n$ , and  $f_x$  are the representing maps for such  $x \in X_n$ , i.e.  $f_x : \Delta[n]_* \rightarrow X_*$  is the map corresponding to  $x \in X_n$  under the isomorphism  $\text{hom}_{sSet}(\Delta[n]_*, X) \cong X_n$ . Since the geometric realization functor commutes with colimits, the previous pushout diagram is preserved:

$$\begin{array}{ccc} \sqcup |\partial\Delta[n]_*| & \xrightarrow{\sqcup |f_x| | \partial\Delta[n]} & |sk_{n-1}(X_*)| \\ \downarrow & & \downarrow \\ \sqcup |\Delta[n]_*| & \xrightarrow{\sqcup |f_x|} & |sk_n(X_*)| \end{array}$$

Thus, we construct  $|X_*|$  inductively, by attaching cells one by one. Hence,  $|X_*|$  is a cell complex. To see it is a CW complex, one only need to check the intersection of any simplex with  $sk_n(X_*)$  for  $n \geq 0$ . We leave it to readers.  $\square$

It can be seen from previous theorem that only non-degenerate simplices contributes to the cell structure of  $|X_*|$ . This is why they get their names.

**Corollary 2.7.**  $|S_*^n| = S^n$ .

*Proof.* By Corollary 2.2,  $|-| = \text{Lan}_Y \Delta^* \dashv \Delta^* \circ Y$ . Since left adjoint functors preserve colimits, we have the diagram

$$\begin{array}{ccc} |\partial\Delta[n]_*| & \hookrightarrow & |\Delta[n]_*| \\ \downarrow & & \downarrow \\ |*| & \hookrightarrow & |S_*^n| \end{array}$$

From the fact  $|\Delta[n]_*| = \Delta^n$  (see Corollary 2.11) we get the desired result.  $\square$

A natural question is how to concretely describe the left (resp. right) Kan extension; that is, how does it perform on each object and morphism? To answer the question, we need the notion of comma categories. Recall that in a left comma category  $F/d$  for a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  and an object  $d \in \mathcal{D}$ , the objects are defined to be the collection  $\{(c, f) : c \in \mathcal{C}, f \in \text{hom}_{\mathcal{D}}(F(c), d)\}$ , and the morphisms are given by

$$\text{hom}_{F/d}((c, f), (c', f')) = \{h \in \text{hom}_{\mathcal{C}}(c, c') : f = f' \circ F(h)\}.$$

One can similarly write down the definition of a right comma category  $d/F$  by inverting the arrows. There are two natural functors associated to  $F/d$ :

- forgetful functor  $\text{Forget} : F/d \rightarrow \mathcal{C}$  sending  $(c, f)$  to  $c$ ;
- constant functor concentrated at  $d$   $\text{const}_d : F/d \rightarrow \mathcal{D}$  sending  $(c, f) \mapsto d$  and  $f \mapsto \text{id}_d$ .

A natural transformation  $\eta : F \circ \text{Forget} \Rightarrow \text{const}_d$  exists because for any  $(c, f), (c', f') \in F/d$ ,  $h : c \rightarrow c'$  compatible with  $f, f'$ , the diagram commutes:

$$\begin{array}{ccc} d = \text{const}_d(c, f) & \xleftarrow{f = \eta(c, f)} & F(c) = F \circ \text{Forget}(c, f) \\ \downarrow \text{id} = \text{const}_d(h) & & \downarrow F(h) \\ d = \text{const}_d(c', f') & \xleftarrow{f' = \eta(c', f')} & F(c') = F \circ \text{Forget}(c', f') \end{array}$$

Fix  $e \in \mathcal{E}$ . Let  $F : \mathcal{C} \rightarrow \mathcal{D}, G : \mathcal{C} \rightarrow \mathcal{E}$  be functors. Consider the composition

$$\begin{array}{ccc} G/e & \xrightarrow{\text{Forget}} & \mathcal{C} \xrightarrow{F} \mathcal{D} \\ (c, f) & \longmapsto & c \longmapsto F(c) \end{array}$$

Assume  $\text{colim}(F \circ \text{Forget})$  exists. Define

$$L_G F(e) := \text{colim}_{G/e}(F \circ \text{Forget}) = \text{colim}(G/e \rightarrow \mathcal{C} \rightarrow \mathcal{D}).$$

**Proposition 2.8.**  $L_G F$  is a well-defined functor from  $\mathcal{E}$  to  $\mathcal{D}$ .

*Proof.* For any  $\varphi : e \rightarrow e'$ ,  $\varphi$  induces a functor  $\varphi_* : G/e \rightarrow G/e'$  sending  $(c, f)$  to  $(c, \varphi \circ f)$ . It is obvious that the diagram commute:

$$\begin{array}{ccc} G/e & & \mathcal{C} \xrightarrow{F} \mathcal{D} \\ \downarrow \varphi_* & \swarrow \text{Forget} & \uparrow \text{Forget} \\ G/e & & \mathcal{C} \xrightarrow{F} \mathcal{D} \end{array}$$

The task is to describe  $L_G F(\varphi)$ . The universal property of colimit gives

$$\begin{array}{ccc} F \circ \text{Forget}(i_1) & \xrightarrow{F \circ \text{Forget}(\xi)} & F \circ \text{Forget}(i_2) \\ \downarrow p_{i_1} & & \downarrow p_{i_2} \\ & \text{colim}(F \circ \text{Forget}) & \\ \downarrow p_{i_1} \circ \varphi_* & \downarrow \exists! & \downarrow p_{i_2} \circ \varphi_* \\ & \text{colim}(F \circ \text{Forget} \circ \varphi_*) & \end{array}$$

where  $\xi : i_1 \rightarrow i_2$  is any morphism in some small diagram  $I$ . So  $L_G F(\varphi) = \operatorname{colim}(F \circ \operatorname{Forget}) \rightarrow \operatorname{colim}(F \circ \operatorname{Forget} \circ \varphi_*)$  is well-defined. This is the desired construction. Associativity can be easily checked (**exercise**).  $\square$

**Theorem 2.9.** *Let  $\mathcal{C}$  be a small category and  $\mathcal{D}$  be a cocomplete category. Every functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  has a left Kan extension along an arbitrary functor  $G$ .*

*Proof.* Check  $L_G F$  satisfies the universal property of  $\operatorname{Lan}_G F$ . We leave it as an exercise.  $\square$

**Corollary 2.10.** *If  $G$  is fully faithful, then  $\eta : F \Rightarrow \operatorname{Lan}_G F \circ G$  is a natural isomorphism.*

*Proof.* Since  $G$  is fully faithful, for any  $f : Gc \rightarrow Gc'$ , there exists a unique morphism  $h : c \rightarrow c'$  such that  $f = Gh$ . So  $(c, \operatorname{id}_c)$  is a terminal object in  $G/Gc$ . Note that for any small diagram  $I$  with a terminal object  $*$ , and a functor  $J : I \rightarrow \mathcal{C}$ , we have  $\operatorname{colim}_I J = J(*)$ . This implies that

$$\begin{aligned} \operatorname{colim}_{G/Gc} F \circ \operatorname{Forget} &\cong \operatorname{Lan}_G F(Gc) = (\operatorname{Lan}_G F \circ G)(c) \\ &= F \circ \operatorname{Forget}(c, \operatorname{id}_c) = F(c). \end{aligned}$$

Hence  $\eta$  is a natural isomorphism.  $\square$

**Corollary 2.11.**  $|\Delta[n]_*| = \Delta^n$ .

*Proof.* Let  $Y$  be Yoneda embedding  $Y : \Delta \rightarrow \mathbf{sSet}$ ,  $Y([n]) = \Delta[n]_*$ .  $Y$  is fully faithful, so  $\eta : \Delta^* \Rightarrow \operatorname{Lan}_Y \Delta^* \circ Y$  is a natural isomorphism. In particular,  $\eta([n]) : \Delta^n \xrightarrow{\cong} |\Delta[n]_*|$ .  $\square$

### 3. HOMOTOPY THEORY OF CATEGORIES

Before we proceed, we need the notion of nerve. From now on, we will assume the underlying category  $\mathcal{C}$  is small.

**Definition 3.1.** The **nerve** of  $\mathcal{C}$ , denoted  $B_*\mathcal{C}$ , is a simplicial set with

$$\begin{aligned} B_0\mathcal{C} &= \operatorname{Obj} \mathcal{C}, \\ B_1\mathcal{C} &= \operatorname{Mor} \mathcal{C}, \\ B_2\mathcal{C} &= \{\text{composable morphisms } c_0 \rightarrow c_1 \rightarrow c_2\}, \\ &\dots \\ B_n\mathcal{C} &= \{\text{composable morphisms } c_0 \rightarrow c_1 \rightarrow \dots \rightarrow c_n\}, \end{aligned}$$

with face maps

$$d_i : [c_0 \rightarrow \dots \rightarrow c_n] \mapsto [c_0 \rightarrow \dots \rightarrow c_{i-1} \rightarrow \widehat{c}_i \rightarrow c_{i+1} \rightarrow \dots \rightarrow c_n]$$

and degeneracy maps

$$s_j : [c_0 \rightarrow \dots \rightarrow c_n] \mapsto [c_0 \rightarrow \dots \rightarrow c_j \rightarrow c_j \rightarrow \dots \rightarrow c_n].$$

The nerve of a category  $\mathcal{C}$  encodes every “critical” morphism that is not isolated in  $\mathcal{C}$ . Another way to see the  $n$ -cells in  $B_*\mathcal{C}$  is through the pullback. Consider

$$\begin{array}{ccc} \text{Mor } \mathcal{C} \times_{\mathcal{C}} \text{Mor } \mathcal{C} & \longrightarrow & \text{Mor } \mathcal{C} \\ \downarrow s & & \downarrow \\ \text{Mor } \mathcal{C} & \xrightarrow{t} & \mathcal{C} \end{array}$$

where  $t, s$  are the target functor and source functor, respectively. Explicitly,  $t(x \rightarrow y) = y$  and  $s(x \rightarrow y) = x$  for any morphism  $x \rightarrow y$ . The pullback  $\text{Mor } \mathcal{C} \times_{\mathcal{C}} \text{Mor } \mathcal{C} = \{(f, g) \in \text{Mor } \mathcal{C} \times \text{Mor } \mathcal{C} : sf = tg\}$  contains exactly those morphisms that are composable, i.e.  $f \circ g \in B_2\mathcal{C}$ . So  $B_2\mathcal{C} \cong \text{Mor } \mathcal{C} \times_{\mathcal{C}} \text{Mor } \mathcal{C}$ . This isomorphism generalize to  $n$ -cells:

$$B_n\mathcal{C} \cong \underbrace{\text{Mor } \mathcal{C} \times_{\mathcal{C}} \cdots \times_{\mathcal{C}} \text{Mor } \mathcal{C}}_n$$

Let  $I$  be a poset. Write  $\vec{I}$  for the associated category whose objects are  $I$  itself, and morphisms are given by

$$\text{hom}_{\vec{I}}(i, j) = \begin{cases} i \rightarrow j & , i < j, \\ \emptyset & , \text{else.} \end{cases}$$

Denote  $\mathbf{Cats}$  by the category of small categories. Let  $F : \Delta \rightarrow \mathbf{Cats}$  be a functor sending  $[n]$  to  $\vec{[n]} = [0 \rightarrow 1 \rightarrow \cdots \rightarrow n]$ . It is straightforward to check that  $F$  is fully faithful. Let  $\mathcal{C}$  be any small category.  $\mathcal{C}$  represents a functor

$$\begin{array}{ccc} \mathbf{Cats}^{op} & \xrightarrow{hc} & \mathbf{Set} \\ \mathcal{D} & \longmapsto & \text{hom}_{\mathbf{Cats}}(\mathcal{D}, \mathcal{C}). \end{array}$$

So

$$\begin{array}{ccc} B_*\mathcal{C} = \Delta^{op} & \xrightarrow{F^{op}} & \mathbf{Cats}^{op} \xrightarrow{hc} \mathbf{Set} \\ [n] & \longmapsto & \vec{[n]} \longmapsto \text{hom}_{\mathbf{Cats}}(\vec{[n]}, \mathcal{C}). \end{array}$$

If we take  $\mathcal{C} = \vec{[n]}$ , then  $B_k\vec{[n]} = \text{hom}_{\mathbf{Cats}}(\vec{[k]}, \vec{[n]}) \cong \text{hom}_{\Delta}([k], [n]) = \Delta[n]_k$ . This implies  $B_*\vec{[n]} = \Delta[n]_*$ , as we expected.

**Example 3.2.** Let  $X \in \mathbf{Top}$ . Assume  $X$  has a open cover  $\{X_\alpha\}_{\alpha \in I}$ . Write  $X_I$  to be the category whose objects are  $\{(x, X_\alpha) : x \in X_\alpha\} = \sqcup_{\alpha \in I} X_\alpha$ , and

$$\text{hom}_{X_I}((x, X_\alpha), (y, X_\beta)) = \begin{cases} \emptyset & , x \neq y, \\ x \mapsto y & , x = y \text{ in } X_\alpha \cap X_\beta. \end{cases}$$

So  $\text{Mor } X_I = \bigsqcup_{\alpha, \beta} X_\alpha \cap X_\beta = B_1 X_I$ . Moreover, it is not hard to deduce that

$$B_n X_I = \bigsqcup X_{\alpha_0} \cap \cdots \cap X_{\alpha_n}.$$

**Example 3.3.** Let  $G$  be a discrete group. Write  $\underline{G}$  to be the one-point category with morphism being the original group  $G$ . This is clearly a groupoid. It is immediate that  $B_n \underline{G} = G^n$ .

**Example 3.4** (Bar construction). Let  $X$  be some reasonable category like  $\mathbf{Top}$ ,  $\mathbf{Grp}$ ,  $\mathbf{SmoothMfd}$ ,  $\mathbf{Set}$ , etc. Define  $EX$  to be the category with object  $X$  and morphism  $X \times X$ . We denote  $B_* EX$  by  $E_* X$ . So  $E_n X = X^{n+1}$ . If  $X = G$ , then  $EX =$



$EG \neq \underline{G}$  (why?). One can justify that  $E_*G$  is a simplicial set with right  $G$ -action. Furthermore, there exists a map  $E_*G \rightarrow B_*\underline{G}$ , which is a fibration with fiber  $G$ .

**Example 3.5.** Let  $X$  be a set with left  $G$ -action. Define  $\mathcal{C} = G \ltimes X$  to be the category whose objects are in  $X$ , and  $\text{hom}_{\mathcal{C}}(x, y) = \{g \in G : gx = y\}$ . This implies  $\text{Mor}\mathcal{C} = \{(g, x) : g \in G, x \in X\} = G \times X$  since any  $f \in \text{Mor}\mathcal{C}$  defines a map from  $x$  to  $x' = gx$ . So  $\mathcal{C}$  is a groupoid. It is straightforward to check that  $B_n\mathcal{C} = G^n \times X$  with face map being  $d_n(g_1, g_2, \dots, x) = (g_1, \dots, g_n, x)$ . Now  $B_*\mathcal{C} = B_*(G \ltimes X) = E_*G \times_G X$  is called the **simplicial Borel construction**.

**Definition 3.6** (Bousfield-Kan construction). Let  $F : \mathcal{C} \rightarrow \text{Set}$  be a functor. Define the **translation category**  $\mathcal{C}_F$  with objects  $(c, x) : c \in \mathcal{C}, x \in F(c)$ , and

$$\text{hom}_{\mathcal{C}_F}((c, x), (c', x')) = \{h : c \rightarrow c' : F(h) : F(c) \rightarrow F(c') \text{ sends } x \mapsto x'\}.$$

The **homotopy colimit** of  $F$  is then defined to be

$$\text{hocolim}F := B_*\mathcal{C}_F \in s\text{Set}.$$

The geometric realization of nerves reveals fruitful properties and is the key to the homotopical algebra.

**Definition 3.7.** Let  $\mathcal{C}$  be a category. We denote the geometric realization of nerve of  $\mathcal{C}$  by  $BC = \|B_*\mathcal{C}\|$ , called the **classifying space** of  $\mathcal{C}$ . Then  $BC \in \text{Top}$  (or CGWH). We say  $\mathcal{C}$  is contractible, connected, etc. if  $BC$  is. For any functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ , we say it is a covering, homotopy equivalence, fibration, etc. if the induced map  $BF : BC \rightarrow BD$  is.

In fact,  $BC$  can be characterized by the following axioms:

**BC1 Naturality**

$\mathcal{C} \mapsto BC$  extends to a functor  $B : \text{Cats} \rightarrow \text{Top}$ .

**BC2 Normalization**

Let  $F : \Delta \rightarrow \text{Cats}$  sending  $[n] \rightarrow \overrightarrow{[n]}$ , and  $B \downarrow_F = \Delta \xrightarrow{F} \text{Cats} \xrightarrow{B} \text{Top}$ .

**BC3 Gluing**

There is a natural isomorphism  $BC \cong \text{colim}_{([n], f) \in F/\mathcal{C}} B\overrightarrow{[n]}$ , i.e.  $BC$  is obtained as the left Kan extension. That is,

$$BC = \text{colim}\{F/\mathcal{C} \xrightarrow{\text{Forget}} \Delta \xrightarrow{B \downarrow_F} \text{Top}\}.$$

**Corollary 3.8.** *Additional, the following axiom of  $B$  can be deduced from BC1 to BC3:*

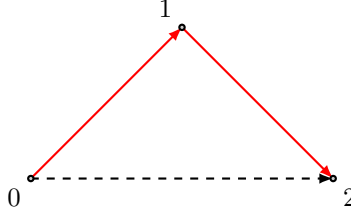
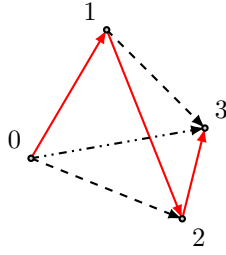
**BC4** *If  $\mathcal{C} \subset \mathcal{D}$  as a subcategory, then  $BC \subset BD$  as a subcomplex.*

**BC5**  *$B$  preserves coproduct in Cats.*

**BC6**  *$BC \times BD \cong B(\mathcal{C} \times \mathcal{D})$  in CGWH. **Note that this does NOT hold in Top (except either  $BC$  or  $BD$  is finite)!***

**Remark 3.9.** BC3 tells us that  $BC$  is built from  $B\overrightarrow{[n]}$ . Indeed, by BC2,  $B\overrightarrow{[0]} \cong \Delta^0 = \{*\}$ , corresponding to  $\text{Obj}(\mathcal{C})$ . Similarly,  $B\overrightarrow{[1]} \cong \Delta^1 = [0, 1]$ , corresponding to morphism  $0 \rightarrow 1$  in  $\mathcal{C}$ .

$B\overrightarrow{[2]} \cong \Delta^2$ , corresponding to composable morphisms  $0 \rightarrow 1 \rightarrow 2$  in  $\mathcal{C}$ .

FIGURE 1.  $B[\vec{2}]$ , which is  $\Delta^2$ FIGURE 2.  $B[\vec{3}]$ , which is  $\Delta^3$ 

Hence, the skeletons of  $BC$  are given by

$$\begin{aligned} sk_0 BC &= *, \\ \overline{sk}_n BC &= sk_n BC - sk_{n-1} BC \\ &= \{ \text{composable } f_n \circ \cdots \circ f_0 \} - \{ \text{composable } f_n \circ \cdots \circ \widehat{f}_i \circ \cdots \circ f_0 : 0 \leq i \leq n \}. \end{aligned}$$

**Example 3.10.** Let  $\mathcal{C} = \underline{\mathbb{Z}/2}$ . Then  $BC \cong (\mathbb{Z}/2)^n$ . Looking at its 0- and 1-skeleton, we find

$$\begin{aligned} sk_0 BC &= *, \\ \overline{sk}_1 BC &= \{ \text{composable } * \rightarrow * \} - \{ * \xrightarrow{\text{id}} * \}. \end{aligned}$$

This indicates that  $sk_1 BC \cong \mathbb{RP}^1$ . In fact, we can show that  $sk_n BC \cong \mathbb{RP}^n$  for all  $n \geq 1$ . Thus,  $BC = \mathbb{RP}^\infty$ . The result corresponds to the ordinary classifying space of  $\mathbb{Z}/2$ .

**3.1. Homotopy.** To define a homotopy between functors, we need the following lemma:

**Lemma 3.11.** *Let  $h : F_0 \Rightarrow F_1$  be a natural transformation of functors  $F_0, F_1 : \mathcal{C} \rightarrow \mathcal{D}$ . Then  $h$  defines a homotopy  $BC \times [0, 1] \rightarrow BD$ .*

*Proof.* Define functor  $H : \mathcal{C} \times \overline{[1]} \rightarrow \mathcal{D}$  by

$$H(c, 0) = F_0(c), \quad H(c, 1) = F_1(c).$$

This is well-defined: on each morphism  $(f : c \rightarrow c', 0 \rightarrow 1)$ ,  $H(f, 0 \rightarrow 1) = h_{c'} \circ F_0(f) = F_1(f) \circ h_c : F_0(c) \rightarrow F_1(c')$ . The diagram reads

$$\begin{array}{ccc} F_0(c) & \xrightarrow{h_c} & F_1(c) \\ F_0(f) \downarrow & & \downarrow F_1(f) \\ F_0(c') & \xrightarrow{h_{c'}} & F_1(c') \end{array}$$

By definition of natural transformation, it is easy to check that  $H$  satisfies associativity, so  $H$  is indeed a functor. Now consider  $BH : B(\mathcal{C} \times [0, 1]) \rightarrow B\mathcal{D}$ . By BC6,  $B(\mathcal{C} \times [0, 1]) = BC \times B\bar{1} = BC \times \Delta^1$ . Hence,  $BH : BC \times [0, 1] \rightarrow B\mathcal{D}$  is the desired homotopy, with

$$BH|_{BC \times \{0\}} = BF_0, \quad BH|_{BC \times \{1\}} = BF_1.$$

□

**Corollary 3.12.** *Let  $L : \mathcal{C} \rightarrow \mathcal{D}$  and  $R : \mathcal{D} \rightarrow \mathcal{C}$  be a pair of adjoint functors. Then  $BC \simeq BD$ . In particular, if  $\mathcal{C} \cong \mathcal{D}$ , then  $BC \simeq BD$ .*

*Proof.* Consider the unit and the counit, and apply Lemma 3.11. □

**Corollary 3.13.** *If  $\mathcal{C}$  has initial or terminal object, then  $BC$  is contractible.*

*Proof.* Consider the constant functor and the inclusion functor, and apply Lemma 3.11. □

With the concept of homotopy established, we are able to talk about the homotopy groups. Starting with  $\pi_0$ .

**Definition 3.14.** For a category  $\mathcal{C}$ , we define its zeroth homotopy group to be  $\pi_0\mathcal{C} = \pi_0BC$ .

This definition makes sense. Indeed, if  $X$  is a CW complex, then  $\pi_0X = sk_0X / \sim$  such that  $x_0$  and  $x_1$  being identifies if there is an 1-cell  $e$  connecting them. So  $\pi_0BC = sk_0BC / \sim = \text{Obj}(\mathcal{C}) / \sim$  with  $c$  and  $c'$  being identifies if there exists an arrow  $c \rightarrow c'$  or its inverse.

**Example 3.15.** Consider the category  $G \ltimes X$  in the Example 3.5. Simple observation gives that  $\pi_0(G \ltimes X) = X/G$  (Exercise).

**Lemma 3.16.** *Consider the translation category  $\mathcal{C}_F$  for  $F : \mathcal{C} \rightarrow \text{Set}$ . Then*

$$\pi_0(\mathcal{C}_F) \cong \text{colim}_{\mathcal{C}} F.$$

*Proof.* Let  $i \xrightarrow{f} j$  be any arrow in  $\mathcal{C}$ . The definition of colimit gives

$$\begin{array}{ccc} F(i) & \xrightarrow{F(f)} & F(j) \\ & \searrow \varphi_i & \swarrow \varphi_j \\ & \text{colim} F & \end{array}$$

Let  $\varphi : \text{Obj}(\mathcal{C}_F) \rightarrow \text{colim} F$  sending  $(i, x)$  to  $\varphi_i(x)$ . Now if  $(i, x) \sim (j, y)$ , then there exists  $f : i \rightarrow j$  such that  $F(f)(x) = y$ , where  $x \in F(i)$  and  $y \in F(j)$ . So

$$\begin{aligned} \varphi_j(y) &= \varphi(j, y) = \varphi(f(i), F(f)(x)) \\ &= \varphi_j(F(f)(x)) = \varphi_i(x). \end{aligned}$$

Hence  $\varphi$  induces a map  $\tilde{\varphi} : \pi_0(\mathcal{C}_F) \rightarrow \text{colim}F$ . On the other hand, the inverse map  $\tilde{\phi} : \text{colim}F \rightarrow \pi_0(\mathcal{C}_F)$  is induced by  $\phi$ , which is defined to be  $(j, y) = \phi_j(y) = \phi_j(F(f)(x)) = \phi_i(x) = (i, x)$  for  $(i, x) \sim (j, y)$ . Instinctly,  $\tilde{\phi}$  is the unique morphism in the following diagram:

$$\begin{array}{ccc}
 F(i) & \xrightarrow{F(f)} & F(j) \\
 \searrow \varphi_i & & \swarrow \varphi_j \\
 & \text{colim}F & \\
 \swarrow \phi_i & \downarrow \tilde{\phi} & \searrow \phi_j \\
 & \mathcal{C}_F & 
 \end{array}$$

□

**Corollary 3.17.** *Let  $F : \mathcal{C} \rightarrow \text{Set}$  be a functor. Then*

$$|\text{hocolim}F| = |B_*\mathcal{C}_F| = B\mathcal{C}_F.$$

Let  $\mathcal{C}$  be a category,  $p : E \rightarrow B\mathcal{C}$  be a covering space. The **fiber functor**  $E : \mathcal{C} \rightarrow \text{Set}$  is defined by

$$E(c) = E_c := p^{-1}(c).$$

Now for any  $f : c \rightarrow c'$ ,  $f \in B_1\mathcal{C} \cong \text{hom}_{\text{Set}}(\Delta_*[1], B_*\mathcal{C})$ . So  $f$  corresponds to  $f : \Delta_*[1] \rightarrow B_*\mathcal{C}$ , and under the action of geometric realization functor,  $|f| : \Delta \rightarrow B\mathcal{C}$  is a path in  $B\mathcal{C}$ . It lifts to  $E(f) : E_c \rightarrow E_{c'}$  sending  $e \rightarrow e' = \tilde{f}(e)$ , where  $\tilde{f} : \Delta^1 \rightarrow E$  is a lift of  $f$  with  $\tilde{f}(0) = e$ .

$$\begin{array}{ccc}
 & E & \\
 \tilde{f} \nearrow & & \downarrow p \\
 \Delta^1 & \xrightarrow{|f|} & B\mathcal{C}
 \end{array}$$

$E$  is called **morphism-invertible**, if it maps all morphisms in  $\mathcal{C}$  to isomorphisms in  $\text{Set}$ .

**Proposition 3.18.** *Consider the forgetful functor  $\text{Forget} : \mathcal{C}_F \rightarrow \mathcal{C}$  sending  $(i, x)$  to  $i$ , where  $F$  is a functor  $F : \mathcal{C} \rightarrow \text{Set}$ . Then  $BF : B\mathcal{C}_F \rightarrow B\mathcal{C}$  is a covering space if  $F$  is morphism-invertible.*

We end this section with some important definitions.

**Definition 3.19.** The **fundamental groupoid** of  $\mathcal{C}$ , denoted by  $\prod(\mathcal{C})$ , is the localization  $\mathcal{C}[(\text{Mor}(\mathcal{C}))^{-1}]$ .

**Definition 3.20.** The  $n$ -th **homotopy group** of  $\mathcal{C}$  is  $\pi_n(\mathcal{C}) := \pi_n(B\mathcal{C})$ .

**3.2. Homology.** Let  $C_\bullet(\mathcal{C})$  be the complex whose  $n$ -th group is  $C_n(\mathcal{C}) = \mathbb{Z}[B_n\mathcal{C}]$ , with the differential  $\partial : C_n \rightarrow C_{n-1}$  given by

$$\partial = \sum_{i=0}^n d_i,$$

where  $d_i$  is the  $i$ -th face map of the simplicial set  $B_*\mathcal{C}$ .

**Proposition 3.21.**  $\partial^2 = 0$ .

*Proof.* For  $\sigma \in B_n\mathcal{C}$ ,

$$\begin{aligned}
\partial^2\sigma &= \partial\left(\sum_{i=0}^n d_i\sigma\right) = \sum_{j=0}^{n-1} \sum_{i=0}^n (-1)^{i+j} d_j d_i\sigma \\
&= \sum_{0 \leq i \leq j \leq n} (-1)^{i+j} d_j d_i\sigma + \sum_{0 \leq j < i \leq n} (-1)^{i+j} d_j d_i\sigma \\
&= \sum_{0 \leq i \leq j \leq n} (-1)^{i+j} d_j d_i\sigma + \sum_{0 \leq j < i \leq n} (-1)^{i+j} d_{i-1} d_j\sigma \\
&= \sum_{0 \leq i \leq j \leq n} (-1)^{i+j} d_j d_i\sigma + (-1) \cdot \sum_{0 \leq j \leq i \leq n} (-1)^{i+j} d_i d_j\sigma \\
&= \sum_{0 \leq i \leq j \leq n} (-1)^{i+j} d_j d_i\sigma + (-1) \cdot \sum_{0 \leq i \leq j \leq n} (-1)^{i+j} d_j d_i\sigma = 0.
\end{aligned}$$

Note that we used the formula in Corollary 1.6.  $\square$

The homology of  $C_\bullet(\mathcal{C})$  is said to be the **homology of category  $\mathcal{C}$** , which is isomorphic to  $H_\bullet(BC; \mathbb{Z})$ . If the coefficient of the homology is other than  $\mathbb{Z}$ , then we need the local system to make a shift.

**Definition 3.22.** A **local system**  $A : \prod(\mathcal{C}) \rightarrow \mathbf{Ab}$  is a covariant functor from fundamental groupoid of  $\mathcal{C}$  to the category of abelian groups  $\mathbf{Ab}$ . Equivalently,  $A$  can also be regarded as a morphism-invertible functor from  $\mathcal{C}$  to  $\mathbf{Ab}$ .

In the new complex  $C_\bullet(\mathcal{C}, A)$  when the coefficient being the local systems  $A$  instead of  $\mathbb{Z}$ , we ask that

$$\begin{aligned}
C_0(\mathcal{C}, A) &= \prod_{c \in \text{Obj}(\mathcal{C})} A(c), \\
C_1(\mathcal{C}, A) &= \prod_{f: c \rightarrow c' \in \text{Mor}(\mathcal{C})} A(c), \\
&\dots\dots \\
C_n(\mathcal{C}, A) &= \prod_{f: c_0 \rightarrow c_1 \rightarrow \dots \rightarrow c_n} A(c_0).
\end{aligned}$$

The differential  $\partial_n^A : \sum_{i=0}^n (-1)^i A(d_i) : C_n(\mathcal{C}, A) \rightarrow C_{n-1}(\mathcal{C}, A)$ .

**Example 3.23.** When  $n = 1$ ,  $\partial_1^A : \prod_{f: c_0 \rightarrow c_1} A(c_0) \rightarrow \prod_c A(c)$  restricts to

$$\partial_1^A |_{f: c_0 \rightarrow c_1} = (A(d_0) - A(d_1)) |_{f: c_0 \rightarrow c_1}.$$

Note that  $d_0(c_0 \rightarrow c_1) = c_1$  and  $d_1(c_0 \rightarrow c_1) = c_0$ . We have that

$$\partial_1^A |_f : A(c_0) \mapsto A(c_0) \oplus A(c_1),$$

sending  $x$  to  $(x, A(f)(x))$ . Hence,

$$H_0(\mathcal{C}, A) = \prod_{c \in \text{Obj}(\mathcal{C})} A(c) / \text{im } \partial_1^A = \text{coker } \partial_1^A.$$

**Lemma 3.24.** *Let  $A$  be a local system. Then there is a natural isomorphism  $H_0(\mathcal{C}, A) \cong \text{colim} A$ .*

*Proof.* We know from the definition that  $\pi_0(\mathcal{C}_A) = \text{Obj}(\mathcal{C}_A)/\sim = \{(c, x) : c \in \mathcal{C}, x \in A(c)\}/\sim$ , where  $(c_0, x_0) \sim (c_1, x_1)$  iff there exists  $f : c_0 \rightarrow c_1$  such that  $A(f)(x_0) = x_1$ . Thus, we obtain that

$$\begin{aligned} \pi_0(\mathcal{C}_A) &= \{(c, x) : c \in \mathcal{C}, x \in A(c)\} / \langle (x, A(f)(x)) : x \in A(c_0), f : c_0 \rightarrow c_1 \rangle \\ &= \text{coker } \partial_1^A = H_0(\mathcal{C}, A). \end{aligned}$$

So we proved our desired result.  $\square$

The previous result generalizes naturally:

**Theorem 3.25** (Quillen). *For  $n \geq 0$ ,  $H_n(\mathcal{C}, A) \cong \text{colim}_n A$ . Here  $\text{colim}_n = L_n(\text{colim})(-)$  is the  $n$ -th left derived functor of  $\text{colim}$ . This is well-defined because  $\text{colim} : \text{Fun}(\coprod \mathcal{C}, \text{Ab}) \rightarrow \text{Ab}$  can be proved to be an additive right exact functor, and  $\text{Fun}(\coprod \mathcal{C}, \text{Ab})$  has enough projectives and injectives.*

**3.3. Quillen's theorem A.** We first state the theorem.

**Theorem 3.26** (Quillen's theorem A). *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor such that an arbitrary comma category  $F/d$  or  $d/F$  is contractible, for any object  $d \in \mathcal{D}$ . Then  $BF : B\mathcal{C} \rightarrow B\mathcal{D}$  is homotopy equivalent.*

**Example 3.27.** Let  $L : \mathcal{C} \rightarrow \mathcal{D}$  and  $R : \mathcal{D} \rightarrow \mathcal{C}$  be a pair of adjoint functors. The unit  $e$  and the counit  $\eta$  are

$$\begin{aligned} e : \text{id}_{\mathcal{C}} &\Rightarrow RL, \\ \eta : LR &\Rightarrow \text{id}_{\mathcal{D}}. \end{aligned}$$

So we obtain a functor  $\mathcal{L} : L/d \rightarrow \text{id}_{\mathcal{C}}/Rd$  sending  $f : Lc \rightarrow d$  to  $RLc \rightarrow Rd$ . The inverse of  $\mathcal{L}$  can be easily defined, denoted  $\mathcal{L}^{-1} : \text{id}_{\mathcal{C}}/Rd \rightarrow L/d$ . It sends  $g : c \rightarrow Rd$  to  $LRd \rightarrow Lc$ .  $\mathcal{L}$  and  $\mathcal{L}^{-1}$  are indeed mutual inverse because we have  $LRL \xrightarrow{L\circ\eta} L$  and  $RLR \xrightarrow{e\circ L} L$ . Now  $\text{id}_{\mathcal{C}}/Rd$  is contractible because it has a terminal object  $(Rd, \text{id}_{Rd})$ . Therefore, by Quillen's theorem A,  $BF : B\mathcal{C} \rightarrow B\mathcal{D}$  is a homotopy equivalence.

**Example 3.28.** Let  $\iota : \mathbb{N} \hookrightarrow \mathbb{Z}$ . Consider the comma category  $*/\iota$ . Its objects are in the form  $\{(*, f) : f : * \rightarrow * \in \mathbb{Z}\}$ , and

$$\text{hom}_{*/\iota}((*, f_1), (*, f_2)) = \left\{ h \in \mathbb{N} : \begin{array}{ccc} * & \xrightarrow{h \in \mathbb{Z}} & * \\ & \swarrow f_1 \in \mathbb{Z} & \nearrow f_2 \in \mathbb{Z} \\ & * & \end{array} \text{ commutative} \right\}.$$

Looking at the nerve of  $*/\iota$ , we can show that it is contractible (**Exercise**). By Quillen's theorem A,  $B\iota : B\mathbb{N} \rightarrow B\mathbb{Z} = S^1$  is a homotopy equivalence.

Before presenting the proof of theorem 3.26, we need some technical constructions.

**Definition 3.29.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. The **left global comma category**  $F/\mathcal{D}$  is a category whose objects are in the form  $\{(c, d, f) : c \in \mathcal{C}, d \in \mathcal{D}, f : Fc \rightarrow d\}$ , and  $\text{hom}_{F/\mathcal{D}}((c, d, f), (c', d', f'))$  is the set  $\{(h, g) : h \in \text{hom}_{\mathcal{C}}(c, c'), g \in$

$\text{hom}_{\mathcal{D}}(d, d')$  such that the diagram commutative:

$$\begin{array}{ccc} F(c) & \xrightarrow{F(h)} & F(c') \\ f \downarrow & & \downarrow f' \\ d & \xrightarrow{g} & d' \end{array}$$

Similarly we can define the **right global comma category**  $\mathcal{D}/F$ . We omit the details for simplicity.

**Definition 3.30.** A **bisimplicial object**  $X_{*,*}$  is a simplicial object in  $s\mathcal{C}$ . In other words,  $X_{*,*} : \Delta^{op} \times \Delta^{op} \rightarrow \mathcal{C}$ . Write  $X_{p,q} = X_{*,*}([p], [q])$ . It obsesses a pair of horizontal face/degeneracy maps (corresponding to  $X_{*,q}$ , denoted  $d_i^h, s_j^h$ ) and a pair of vertical face/degeneracy maps (corresponding to  $X_{p,*}$ , denoted  $d_i^v, s_j^v$ ). We use the notation  $ss\mathcal{C}$  to denote the category of bisimplicial objects in  $\mathcal{C}$ .

There is a natural map

$$\begin{array}{ccc} d : \Delta^{op} & \xrightarrow{\text{id} \times \text{id}} & \Delta^{op} \times \Delta^{op} \xrightarrow{X_{*,*}} \mathcal{C} \\ [n] & \longmapsto & [n] \times [n] \longmapsto X_{n,n}. \end{array}$$

$d$  is called the **diagonalization**. It is functorial, sending elements in  $ss\text{Set}$  to ones in  $s\text{Set}$ . Let  $X = X_{*,*}$  be a bisimplicial object. Then  $d(X)_n = X_{n,n}$ , whose face maps and degeneracy maps are given by

$$d_i = d_i^h \circ d_i^v = d_i^v \circ d_i^h, \quad s_j = s_j^h \circ s_j^v = s_j^v \circ s_j^h.$$

Notice that horizontal and vertical maps are independent, so they are free to commute.

**Proposition 3.31.** *There exists a coequalizer*

$$\bigsqcup_{f:[m] \rightarrow [n]} X_n \times \Delta^m \begin{array}{c} \sqcup \\ \xrightarrow{\cong} \\ \xrightarrow{\cong} \end{array} \xrightarrow{\gamma} X_n \times \Delta^n \xrightarrow{\gamma} dX,$$

where  $\gamma_n : X_n \times \Delta^n \rightarrow dX$ . Its action on  $r$ -simplices yields  $(x, \tau : [r] \rightarrow [n]) \mapsto \gamma^*(x) \in X_{r,r}$ .

**Definition 3.32.** The geometric realization of  $X = X_{*,*}$  is

$$BX := \bigsqcup_{p,q \geq 0} X_{p,q} \times \Delta^p \times \Delta^q / \sim,$$

where  $\sim$  is the same as the one in the equation [Defn 1](#), but given as  $p$  and  $q$  respectively.

**Proposition 3.33.**  $d$  induces a homotopy equivalence  $BX \xrightarrow{\cong} B(dX)$ .

Let  $f = f_{*,*} : X_{*,*} \rightarrow Y_{*,*}$  be a map of bisimplicial objects in  $\mathcal{C}$  (i.e. compatible with face and degeneracy maps). For any  $c \in \mathcal{C}$ ,  $c \in B_0\mathcal{C}$ . From the fact

$$\text{hom}_{s\text{Set}}(\Delta[0]_*, B_*\mathcal{C}) \cong B_0\mathcal{C}$$

we deduce that  $s_0^p = \underbrace{s_0 \circ \cdots \circ s_0}_p(c) \in B_p\mathcal{C}$ ,  $p \geq 0$ . The **fiber** of  $f$  at  $c$  is

$$f^{-1}(c) = \{f_{p,q}^{-1}(c) \subset X_{p,q}\}_{p,q}.$$

Any map  $\alpha : c \rightarrow c'$  yields a map of bisimplicial sets  $f^{-1}(c) \xrightarrow{\alpha_*} f^{-1}(c')$ . The following lemma is important in our setting:

**Lemma 3.34.** *Let  $X = X_{*,*}$  be a bisimplicial object in  $\mathcal{C}$ .*

- (1) *If  $p \geq 0$  and  $f_{p,*} : X_{p,*} \rightarrow Y_{p,*}$  is a homotopy equivalence, then  $Bf : BX \rightarrow BY$  is a homotopy equivalence.*
- (2) *If for any map  $\alpha : c \rightarrow c'$ ,  $Bf^{-1}(c) \xrightarrow{\alpha_*} Bf^{-1}(c')$  is a homotopy equivalence, then  $f^{-1}(c) \hookrightarrow X_{*,*}$  fits into a homotopy fibration sequence:*

$$(\sharp) \quad Bf^{-1}(c) \rightarrow BX \rightarrow BC.$$

We will discuss the homotopy fibration sequence in the next section. For now, we leave it as a black box with one important result kept in mind: if  $Bf^{-1}(c)$  in the homotopy fibration sequence  $(\sharp)$  is contractible, then  $BX \simeq BC$ .

**Lemma 3.35.** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. Then the forgetful functor*

$$\begin{aligned} \text{Forget} : \mathcal{D}/F &\longrightarrow \mathcal{C} \\ (c, d, f) &\longmapsto c \end{aligned}$$

*is a homotopy equivalence.*

*Proof.* Define  $X = \{X_{p,q}\}_{p,q}$  with

$$X_{p,q} = \{d_p \rightarrow \cdots \rightarrow d_0 \rightarrow F(c_0), c_0 \rightarrow \cdots \rightarrow c_q\}_{p,q},$$

where  $c_0, d_0, c_1, d_1, \dots \in \mathcal{D}/F$ . This is the same data as the triple

$$\left( \begin{array}{ccccccc} & & d_p & \longrightarrow & d_{p-1} & \longrightarrow & \cdots & \longrightarrow & d_0 \\ d_p \rightarrow \cdots \rightarrow d_0 \rightarrow F(c_0), c_0 \rightarrow \cdots \rightarrow c_q, & & \downarrow & & \downarrow & & & & \downarrow \\ & & F(c_p) & \longleftarrow & F(c_{p-1}) & \longleftarrow & \cdots & \longleftarrow & F(c_0) \end{array} \right).$$

Note  $BX \simeq BdX$ . On the other hand,  $B_*dX = X_{*,*} = B_*(\mathcal{D}/F)$  by the data. So  $BX \simeq B(\mathcal{D}/F)$ . Consider the natural projection  $f = f_{*,*'} : X_{*,*'} \rightarrow B_*\mathcal{C}$ . On  $(p, q)$ -simplex,

$$\begin{aligned} f_{p,q} : X_{p,q} &\longrightarrow B_q\mathcal{C} \\ \{d_p \rightarrow \cdots \rightarrow d_0 \rightarrow F(c_0), c_0 \rightarrow \cdots \rightarrow c_q\}_{p,q} &\longmapsto (c_0 \rightarrow \cdots \rightarrow c_q), \end{aligned}$$

and  $s_0^q(c_0) = \underbrace{c_0 \rightarrow \cdots \rightarrow c_0}_q \in B_q\mathcal{C}$ . So

$$\begin{aligned} f^{-1}(c_0) &= \{f_{p,q}^{-1}(s_0^q(c_0)) \subset X_{p,q}\}_{p,q} \\ &= \{d_p \rightarrow \cdots \rightarrow d_0 \rightarrow F(c_0), \underbrace{c_0 \rightarrow \cdots \rightarrow c_0}_q\}_{p,q} \\ &\cong B_*(\mathcal{D}/F(c_0)). \end{aligned}$$

Since  $\mathcal{D}/F(c_0)$  has an initial object  $(F(c_0), \text{id}_{F(c_0)})$ , it is contractible. Hence,  $Bf^{-1}(c_0)$  is contractible. By (2) of Lemma 3.34,  $BX \simeq BC \simeq B(\mathcal{D}/F)$ .  $\square$

Now we are ready to prove theorem 3.26.



*Proof of theorem 3.26.* Consider the following functors:

$$\begin{array}{ccc} \mathcal{C} & \xleftarrow{\text{Forget}_{\mathcal{C}}} & \mathcal{D}/F \xrightarrow{\text{Forget}_{\mathcal{D}}} \mathcal{D}^{op} \\ c & \longleftarrow & (c, d, f) \longrightarrow d. \end{array}$$

By Lemma 3.35,  $\text{Forget}_{\mathcal{C}}$  is a homotopy equivalence. It suffices to check  $\text{Forget}_{\mathcal{D}}$  is a homotopy equivalence. Write  $\text{Mor}(\mathcal{D})$  to be a category whose objects are morphisms in  $\mathcal{D}$ , and

$$(b) \quad \text{hom}_{\text{Mor}(\mathcal{D})}(a \xrightarrow{f} b, c \xrightarrow{g} d) = \left\{ (\phi, \psi) : \begin{array}{ccc} a & \xrightarrow{f} & b \\ \psi \downarrow & & \downarrow \phi \\ c & \xrightarrow{g} & d \end{array} \right\}.$$

Let  $t, s$  be the target, and the source functors, respectively.  $t : \text{Mor}(\mathcal{D}) \rightarrow \mathcal{D}$  sends  $(a \xrightarrow{f} b)$  to  $b$ , and sends the commutative diagram in (b) to  $b \xrightarrow{\phi} d$ . Similarly,  $s : \text{Mor}(\mathcal{D}) \rightarrow \mathcal{D}^{op}$  sends  $(a \xrightarrow{f} b)$  to  $a$ , and sends the commutative diagram in (b) to  $a \xrightarrow{\psi} c$ . Clearly,  $\text{Mor}(\mathcal{D}) = \mathcal{D}/\text{id}_{\mathcal{D}}$ . So Lemma 3.35 tells us that  $t$  is a homotopy equivalence. Moreover, with slight amendment,  $s$  is also a homotopy equivalence. Working on the diagram:

$$\begin{array}{ccccc} \mathcal{C} & \xleftarrow{\cong} & \mathcal{D}/F & \longrightarrow & \mathcal{D}^{op} \\ \downarrow F & & \downarrow \text{Forget}_{\text{Mor}(\mathcal{D})} & & \parallel \\ \mathcal{D} & \xleftarrow[t]{\cong} & \text{Mor}(\mathcal{D}) & \xrightarrow[s]{\cong} & \mathcal{D}^{op} \end{array}$$

It suffices to show that the functor  $\mathcal{D}/F \rightarrow \mathcal{D}^{op}$  on the top right is a homotopy equivalence.

Let  $X = X_{*,*}$  be a bisimplicial object, with

$$X_{p,q} = \{d_p \rightarrow \cdots \rightarrow d_0 \rightarrow F(c_0), c_0 \rightarrow \cdots \rightarrow c_q\}_{p,q}.$$

Let  $P : X_{*,*} \rightarrow B_*\mathcal{D}^{op}$  be the projection onto the  $d$ -factor. By a similar argument in the proof of Lemma 3.35,  $P^{-1}(d_0) \cong B_*(d_0/F)$ , which is contractible.  $B(\mathcal{D}/F) \rightarrow B\mathcal{D}^{op}$  factors through  $B(\mathcal{D}/F) \xrightarrow{\cong} BX \xrightarrow{BP} B\mathcal{D}^{op}$ . Hence,  $B(\mathcal{D}/F) \simeq BX \simeq B\mathcal{D}^{op}$ . We get our desired result.  $\square$

**3.4. Quillen's theorem B.** Before we proceed, we first pick up some basic knowledge of homotopy theory. Most of propositions in this section will not be proved.

**3.4.1. Homotopy fibration sequence.** Let  $\mathcal{C}$  be a locally small category. Recall that for any  $i : A \rightarrow B, p : X \rightarrow Y \in \text{Mor}(\mathcal{C})$ ,  $i$  is said to have **left lifting property (LLP)** w.r.t.  $p$  if there is a map  $h : B \rightarrow X$  such that  $f = h \circ i$ ;  $p$  is said to have **right lifting property (RLP)** w.r.t.  $i$  if there is a map  $h : B \rightarrow X$  such that  $g = p \circ h$ .

$$\begin{array}{ccc} A & \xrightarrow{f} & X \\ i \downarrow & \nearrow h & \downarrow p \\ B & \xrightarrow{g} & B \end{array}$$

Let  $p : E \rightarrow B$  be a surjective map. It is called a **fibration** if for any  $i : D^n \hookrightarrow D^n \times I$  ( $n \geq 0$ ),  $i$  has LLP w.r.t.  $p$ . That is, there exists a map  $h : D^n \times I \rightarrow E$  such that the diagram commutes:

$$\begin{array}{ccc} D^n & \longrightarrow & E \\ i \downarrow & \nearrow h & \downarrow p \\ D^n \times I & \longrightarrow & B \end{array}$$

**Proposition 3.36.** *Pullback of a fibration is again a fibration.*

*Proof.* Let  $p : E \rightarrow B$  be a fibration, and  $f : A \rightarrow B$  be any map. We need to prove the pullback  $\tilde{p} : A \times_f E \rightarrow A$  is a fibration. Look at the diagram:

$$\begin{array}{ccccc} D^n & \xrightarrow{g} & A \times_f E & \longrightarrow & E \\ i_0 \downarrow & & \phi \downarrow & \nearrow \tilde{p} & \downarrow p \\ D^n \times I & \xrightarrow{h} & A & \xrightarrow{f} & B \end{array}$$

By definition of fibration, there exists a map  $\phi : D^n \times I \rightarrow E$  such that two big triangles with diagonal from  $D^n \times I$  to  $E$  are commutative. Note that we already have  $p \circ \phi = f \circ h$ . The universal property of pullback yields that there exists a unique map  $\psi : D^n \times I \rightarrow A \times_f E$ , which is exactly the desired morphism.  $\square$

As we would expect from classical homotopy theory, we have the following proposition:

**Proposition 3.37.** *Let  $E \rightarrow B$  be a fibration with fiber  $F$ . Then there exists a long exact sequence associated to it:*

$$\cdots \rightarrow \pi_{n+1}(B) \rightarrow \pi_{n+1}(E) \rightarrow \pi_{n+1}(F) \rightarrow \pi_n(B) \rightarrow \cdots$$

Let  $X$  be a path-connected space. The **path space** of  $X$ , denoted  $X^I$ , is  $\text{Map}(I, X)$  with compact-open topology (i.e. generated by  $U^C$  of paths mapping a fixed compact subset  $C \subset I$  into a fixed open subset  $U \subset X$ ). Write  $PX = \{\gamma \in X^I : \gamma(0) = x\}$ , the space of paths based at  $x \in X$ .

**Proposition 3.38.** *There is a fibration  $PX \xrightarrow{p} X$  sending  $\gamma$  to  $\gamma(1)$ . The fiber of this fibration is  $\Omega X = \{\gamma \in X^I : \gamma(0) = \gamma(1)\}$ , called the **loop space** of  $X$ .*

**Proposition 3.39.** (1)  *$PX$  is contractible.*

(2) *If  $X$  is homotopy equivalent to a CW complex, then so is  $\Omega X$ .*

**Definition 3.40.** Let  $f : X \rightarrow Y$  be any morphism, with  $Y$  path-connected. The **mapping path space**  $Nf$  is the pullback

$$\begin{array}{ccc} Nf & \xrightarrow{g} & PY \\ \pi \downarrow & & \downarrow p \\ X & \xrightarrow{f} & Y \end{array}$$

where  $p$  sends  $\gamma$  to  $\gamma(1)$ . In other word,

$$Nf = X \times_f PY = \{(x, \gamma) \in X \times Y^I : f(x) = \gamma(1)\}.$$

**Proposition 3.41** (Example of fibrant replacement). *Any morphism  $f : X \rightarrow Y$  in  $\text{Top}$  can be written as a composite of a homotopy equivalence and a fibration.*

**Definition 3.42.** Let  $f : X \rightarrow Y$  be any morphism, with  $Y$  path-connected. Suppose we have  $Nf \xrightarrow{P} Y$ , where  $P = f \circ \pi = p \circ g$  in the Definition 3.40.  $P(x, \gamma) = \gamma(1)$ . The **homotopy fiber of  $f$  over  $y \in Y$**  is  $P^{-1}(y) = \{(x, \gamma) \in X \times Y^I : \gamma(1) = f(x), \gamma(0) = y\}$ . When the choice of  $y$  is specified or unimportant, then we denote  $P^{-1}(y)$  by  $Ff$ .

Equivalently, we see  $Ff$  as the pullback

$$\begin{array}{ccc} Ff & \longrightarrow & Nf \\ \downarrow & & \downarrow P \\ \{y\} & \longleftarrow & Y \end{array}$$

Let  $F \xrightarrow{j} X \xrightarrow{f} Y$  be any morphism in **Top** such that  $f \circ j$  is constant. The universal property of  $Ff$  gives a canonical map  $g : F \rightarrow Ff$ , sending  $x$  to  $(j(x), \gamma_{f \circ j(x)})$ :

$$\begin{array}{ccc} F & \xrightarrow{j} & X \\ \downarrow g & & \downarrow i \\ Ff & \longrightarrow & NF \\ \downarrow & & \downarrow f \\ \{y\} & \longleftarrow & Y \end{array}$$

(A curved arrow labeled  $f$  points from  $X$  to  $Y$ .)

**Definition 3.43.** The sequence  $F \xrightarrow{j} X \xrightarrow{f} Y$  is called a **homotopy fibration sequence** if the induced map  $g$  is a homotopy equivalence.

**Proposition 3.44.** Let  $F \xrightarrow{j} X \xrightarrow{f} Y$  be a homotopy fibration sequence. Then there exists a long exact sequence associated to it:

$$\cdots \rightarrow \pi_{n+1}(F) \rightarrow \pi_{n+1}(X) \rightarrow \pi_{n+1}(Y) \rightarrow \pi_n(F) \rightarrow \cdots$$

3.4.2. *Quillen's theorem B.* Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. Fix an object  $d \in \mathcal{D}$ .

**Definition 3.45.** The **fiber of  $F$  over  $d$**  is the category  $F^{-1}(d)$ , whose objects and morphisms consist of  $\{c \in \mathcal{C} : F(c) = d\}$  and  $\{f \in \text{Mor}(\mathcal{C}) : F(f) = \text{id}_d\}$ , respectively.

There are natural functors

$$\begin{array}{ccc} i_* : F^{-1}(d) & \longleftarrow & d/F \\ c & \longmapsto & (d \mapsto Fc, c) = (c, \text{id}_d), \end{array}$$

and

$$\begin{array}{ccc} i^* : F^{-1}(d) & \longleftarrow & F/d \\ c & \longmapsto & (Fc \mapsto d, c) = (c, \text{id}_d). \end{array}$$

However,  $i_*$  and  $i^*$  are not homotopy equivalences in general.

**Definition 3.46.**  $F$  is called **pre-cofibered** if for any object  $d \in \mathcal{D}$ ,  $i_*$  has a right adjoint, denoted by  $i^! : d/F \rightarrow F^{-1}(d)$ . Dually,  $F$  is called **pre-fibered** if for any object  $d \in \mathcal{D}$ ,  $i^*$  has a left adjoint, denoted by  $i_! : F/d \rightarrow F^{-1}(d)$ .

**Corollary 3.47.** *If  $F$  is pre-cofibered, then  $B(d/F) \simeq BF^{-1}(d)$ . If  $F$  is pre-fibered, then  $B(F/d) \simeq BF^{-1}(d)$ .*

**Definition 3.48.** Let  $F$  be pre-fibered. Fix a morphism  $f : d \rightarrow d'$  in  $\mathcal{D}$ . The **base change functor**  $f^* : F^{-1}(d') \rightarrow F^{-1}(d)$  is given by

$$\begin{aligned} f^* : F^{-1}(d') &\xrightarrow{i'_*} d'/F \xrightarrow{f} d/F \xrightarrow{i^!} F^{-1}(d) \\ (c, d' \xrightarrow{g} Fc) &\longmapsto (c, d \xrightarrow{f} d' \xrightarrow{g} Fc) \end{aligned}$$

Let  $d \xrightarrow{f} d' \xrightarrow{g} d''$  be a chain of morphism in  $\mathcal{D}$ . There exists a natural transformation  $\alpha = f^*g^* \Rightarrow (g \circ f)^*$ , induced by the counit  $\varepsilon : i'_* \circ (i')^! \Rightarrow \text{id}_{d/F}$ :

$$\begin{array}{ccc} F^{-1}(d'') &\xrightarrow{i''_*} d''/F \xrightarrow{g} d'/F &\xrightarrow{(i')^!} F^{-1}(d') \\ & & \searrow \varepsilon \quad \downarrow i'_* \\ & & d'/F \\ & & \downarrow f \\ & & d/F \\ & & \downarrow i^! \\ & & F^{-1}(d) \end{array}$$

Dually, we can present the previous constructions with the assumption that  $F$  is pre-cofibered.

**Definition 3.49.** Let  $F$  be pre-fibered.  $F$  is **fibered** if any composable pair  $f, g \in \text{Mor}(\mathcal{D})$  induces the natural isomorphism  $\alpha = f^*g^* \Rightarrow (g \circ f)^*$  defined as above. Dually, let  $F$  be pre-cofibered.  $F$  is **cofibered** if any composable pair  $f, g \in \text{Mor}(\mathcal{D})$  induces the natural isomorphism  $\alpha = f^*g^* \Rightarrow (g \circ f)^*$ .

The following is an easy corollary of Quillen's theorem A:

**Corollary 3.50.** *Let  $F$  be cofibered (resp. fibered). If  $F^{-1}(d)$  is contractible for any object  $d \in \mathcal{D}$ , then  $BF : B\mathcal{C} \rightarrow B\mathcal{D}$  is a homotopy equivalence.*

**Example 3.51** (Grothendieck). There is an one-to-one correspondence:

$$\{\text{cofibered } \mathcal{C} \rightarrow \mathcal{D}\} \xleftrightarrow{\quad} \{\text{functors } \mathcal{D} \rightarrow \text{Cats}\}.$$

To see why it is true, one can take any cofibered functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ , and then define  $F^{-1} : \mathcal{D} \rightarrow \text{Cats}$  sending  $d \mapsto F^{-1}(d)$ . Conversely, for any  $G : \mathcal{D} \rightarrow \text{Cats}$ , one can associate it to  $G' : \mathcal{D}_G \rightarrow \mathcal{D}$ , which is cofibered.

Now we come to another meta-theorem of the context:

**Theorem 3.52** (Quillen's theorem B). *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor such that any  $(f : d \rightarrow d') \in \text{Mor}(\mathcal{D})$  induces a homotopy equivalence in the associated base change functor  $f^*$ :*

$$F^{-1}(d') \xrightarrow{i'_*} d'/F \xrightarrow[\simeq]{f} d/F \xrightarrow{i^!} F^{-1}(d).$$

Then, for any object  $d \in \mathcal{D}$ , there is a homotopy fibration sequence:

$$B(d/F) \xrightarrow{B \circ \text{Forget}} BC \xrightarrow{BF} B\mathcal{D}.$$

*Proof.* Again, we use the same technique as proving Quillen's theorem A. Let  $X = \{X_{p,q}\}_{p,q}$  be a bisimplicial object in  $\mathcal{C}$ .  $X_{p,q} = \{d_p \rightarrow \cdots \rightarrow d_0 \rightarrow F(c_0), c_0 \rightarrow \cdots \rightarrow c_q\}$ . Let  $\pi : X_{*,*'} \rightarrow B_*\mathcal{D}^{op}$  be as in the proof of Quillen's theorem A (see Theorem 3.26). We know

$$\pi^{-1}(d_0) \cong B_*(d_0/F).$$

From the assumption,  $d'/F \xrightarrow[\simeq]{f} d/F$ . (2) of Lemma 3.34 tells us that there exists a homotopy fibration sequence

$$B\pi^{-1}(d) \cong B(d/F) \rightarrow BX \rightarrow BC.$$

On the other hand, since  $BX \simeq BdX$  and  $B_*(\mathcal{D}/F) = B_*dX$ ,  $BX \simeq B(\mathcal{D}/F)$ , and so by Lemma 3.35,

$$B \circ \text{Forget} : B(d/F) \simeq BX \simeq B(\mathcal{D}/F) \xrightarrow{\simeq} BC.$$

We obtain the following diagram:

$$\begin{array}{ccccc} B(d/F) & \longrightarrow & B(\mathcal{D}/F) \simeq BX & \xrightarrow{B\pi} & B\mathcal{D}^{op} \\ \parallel & & \downarrow \simeq & & \downarrow \simeq \\ B(d/F) & \xrightarrow{B \circ \text{Forget}} & BC & \xrightarrow{BF} & B\mathcal{D} \end{array}$$

Note that  $B\pi$  is a homotopy equivalence by factoring through

$$B(\mathcal{D}/F) \simeq BX \xrightarrow{B\pi} B\mathcal{D}^{op}.$$

Hence, the upper row of the diagram is a homotopy fibration sequence. Therefore, the upper row of the diagram is also a homotopy fibration sequence.  $\square$

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